

Lung cancer biomarkers, targeted therapies and clinical assays

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Abstract: Until recently, the majority of genomic cancer research has been in discovery and validation; however, as our knowledge of tumor molecular profiling improves, the idea of genomic application in the clinic becomes increasingly tangible, paralleled with the drug development of newer targeted therapies. A number of profiling methodologies exist to identify biomarkers found within the patient (germ-line DNA) and tumor (somatic DNA). Subsequently, commercially available clinical assays to test for both germ-line and somatic alterations that are prognostic and/or predictive of disease outcome, toxicity or treatment response have significantly increased. This review aims to summarize clinically relevant cancer biomarkers that serve as targets for therapy and their potential relationship to lung cancer. In order to realize the full potential of genomic cancer medicine, it is imperative that clinicians understand these intricate molecular pathways, the therapeutic implication of mutations within these pathways, and the availability of clinical assays to identify such biomarkers.

Keywords: Assay; biomarker; lung cancer; mutation; pharmacogenetic

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Introduction

Given the large heterogeneity in clinical response observed across cancer patients and the narrow therapeutic indices of anticancer drugs, novel methods for individualizing cancer therapy are critical to improve patient outcomes. Our understanding of cancer at the molecular level has resulted in a shift from characterizing tumors solely by anatomical location to consideration of their molecular profile (1). Until recently, the majority of genomic cancer research has been in discovery and validation; however, as our knowledge of tumor molecular profiling improves, genomic cancer medicine in the clinic becomes increasingly tangible (2). As the number of commercially-available clinical assays to test for tumor biomarkers increases, it is critical that clinicians understand the therapeutic implications of mutations occurring within these molecular pathways. This review aims to summarize clinically relevant cancer biomarkers, their potential relationship to lung cancer and the clinical assays available in practice to test for such biomarkers (*Table 1*).

Biomarkers review

Biomarker classification

DNA analysis for pharmacogenetic purposes can be performed with either somatic or germ-line DNA. Somatic mutations are found within the tumor, requiring a tumor biopsy for identification, and are particularly useful in evaluating pharmacodynamic effects of a drug, such as tumor response. Germ-line, or inherited, variations are identified by a peripheral blood sample and help to predict the pharmacokinetic behavior of a drug, and ultimately drug response (3). Cancer biomarkers can be broadly categorized into two classifications: prognostic and predictive. A prognostic biomarker is mainly associated with disease outcome in the absence of treatment (i.e., Oncotype Dx, Mammaprint), while a predictive biomarker is valuable in assessing drug response [i.e., anaplastic lymphoma kinase (*ALK*), epidermal growth factor receptor (*EGFR*), Kirsten rat sarcoma viral oncogene homolog (*KRAS*)] (4). Biomarkers may also be classified as both prognostic and predictive [i.e., human epidermal growth factor receptor-2

Table 1 Select cancer biomarkers, targeted therapies, and clinical assay availability

Biomarker	Targeted therapy	Tumor	Clinical assay(s) available	Molecular profiling methodology
ALK/ROS1	Crizotinib, ceritinib	Lung	Vysis ALK Break Apart FISH probe kit ^a	FISH
BRAF (V600E)	Vemurafenib, dabrafenib, trametinib	Lung, melanoma	Cobas 4800 BRAF V600E Mutation Test ^a ; THxID BRAF test ^a	Real time PCR
C-KIT	Imatinib mesylate	Lung, GIST	C-KIT pharmDx ^a	IHC
EGFR	Erlotinib, afatinib	Lung, colorectal	EGFR pharmDx ^a , Therascreen EGFR RGQ PCR kit ^a ; Cobas EGFR Mutation Test ^a	IHC, Sanger Sequencing, PCR
HER2 (ERBB2)	Trastuzumab, lapatinib, pertuzumab, ado-trastuzumab-emtansine, dacomitinib	Lung, breast	HerceptTest ^a , Pathway ^a , Insite ^a , PathVysion ^a , SPOT-Light ^a , HER2 CISH ^a	IHC, FISH, CISH
JAK2	Ruxolitinib	Lung, myelofibrosis and other myeloproliferative disorders	JAK2 V617F Mutation Detection Assay, HTScan JAK2 Kinase Assay Kit	Real time PCR, Kinase activity assay
PD-1	Pembrolizumab, nivolumab	Lung, melanoma	In development	N/A
KRAS	Cetuximab, panitumumab	Lung, colorectal	Therascreen KRAS RGQ PCR Kit ^a , DxS KRAS Mutation Test Kit, Genzyme's KRAS Mutation Analysis	Real time PCR

^a, assays that are FDA approved, PMA or 510(k) status. IHC, immunohistochemistry; HER2, human epidermal growth factor receptor-2; CISH, chromogenic in situ hybridization; FISH, fluorescence in situ hybridization; PCR, polymerase chain reaction; EGFR, epithelial growth factor receptor; GIST, gastrointestinal stromal tumor; ALK, anaplastic lymphoma kinase; JAK2, janus kinase 2; PD-1, programmed cell death 1; ROS1, ROS proto-oncogene 1, receptor tyrosine kinase.

(*HER2*), B-Raf proto-oncogene, serine/threonine kinase (*BRAF*)]. Pharmacodynamic biomarkers, a subset of predictive biomarkers, are useful in measuring the treatment effects of a drug on the tumor or on the host and can be used to guide dose selection. Examples include thiopurine-S-methyltransferase (*TPMT*) to guide 6-mercaptopurine dosing and uridine-diphosphate glucuronosyl transferase 1A1 (*UGT1A1*) to guide irinotecan dosing (5).

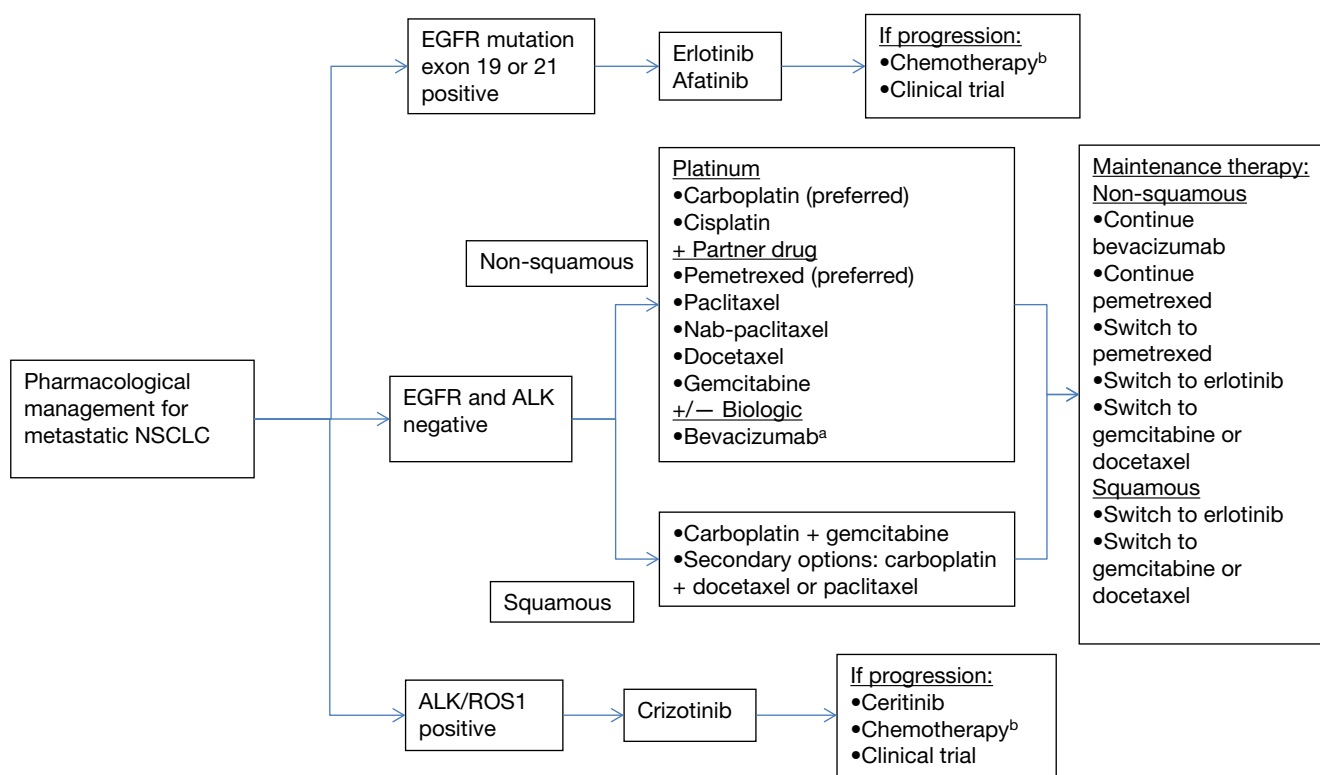
Lung cancer is the leading cause of cancer-related mortality worldwide. Molecularly targeted therapies have dramatically improved the ability to extend survival in patients with lung cancers positive for *EGFR* mutations and/or *ALK* translocations. Researchers in The Cancer Genome Atlas Network molecularly profiled 230 resected lung adenocarcinomas using messenger RNA, microRNA and DNA sequencing integrated with copy number, methylation and proteomic analyses. Results demonstrated high rates of mutations at a mean of 9 per megabase, while 18 genes were statistically significantly mutated including *RIT1*, *EGFR*,

NF1, *MET*, *ERBB2*, *RBM10*, and others within the mitogen-activated protein kinase (*MAPK*) and phosphatidylinositol-3-kinase (*PI3K*) pathways (6). Although several genes identified are not currently druggable and their prognostic significance has yet to be elucidated, understanding these molecular pathways and their predictive potential are critical to advancing personalized lung cancer therapy. The remaining article will focus on cancer biomarkers for which targeted therapies are available, their influence on lung cancer therapy, and, lastly, potential new targets for drugs in the pipeline.

Cancer biomarkers and lung cancer

Anaplastic lymphoma kinase (*ALK*)

Activating translocations of *ALK* resulting in the abnormal fusion gene, *EML4-ALK*, occurs in approximately 2-7% of all non-small cell lung cancer (NSCLC) cases, and encodes



^a, bevacizumab use preferred if patient eligible: non-squamous, age ≤70 years old, no history of gross hemoptysis, stable or treated brain metastasis; ^b, follow chemotherapy recommendations for non-squamous or squamous, depending on histology.

Figure 1 Example of a biomarker-driven treatment pathway for NSCLC, whereby mutations in EGFR or ALK drive targeted therapy selection, while patients with tumors negative for these biomarkers have therapy guided by histology and other clinical factors. NSCLC, non-small cell lung cancer; ALK, anaplastic lymphoma kinase; EGFR, epidermal growth factor receptor; ROS1, ROS proto-oncogene 1, receptor tyrosine kinase.

a cytoplasmic chimeric protein with constitutive kinase activity allowing activation of the *RAS-MEK-ERK*, janus kinase 3 (*JAK3*)-*STAT3*, and *PI3K-AKT* pathways (7). Similar to *EGFR* mutations, *ALK* rearrangements in NSCLC are associated with clinical and histopathologic features, such as adenocarcinoma histology and nonsmoking history. In contrast to *EGFR* mutations, patients with *ALK* rearrangements tend to be significantly younger and male, with no significant differences in frequency between Asian and Western populations (8). Treatment with crizotinib, a tyrosine kinase inhibitor (TKI) that competitively binds to *ALK*, demonstrated an initial overall response rate (ORR) of 60.8% in *ALK*-positive NSCLC patients treated in a phase I clinical trial, advancing the molecule into an accelerated FDA approval process (7). Results from the randomized phase III trial comparing crizotinib versus docetaxel/pemetrexed in *ALK*-positive NSCLC unequivocally

demonstrated that crizotinib results in improved ORR (65% vs. 20%; $P < 0.05$) and median progression-free survival (PFS) (7.7 vs. 3.0 months; $P < 0.05$) (9). *Figure 1* illustrates a targeted approach to therapy selection in NSCLC based on clinically relevant biomarkers, including *ALK* and *EGFR* (discussed later in the article).

Although the majority of patients with *ALK*-positive NSCLC derive substantial benefit from crizotinib, this benefit is relatively short-lived secondary to acquired resistance. Possible mechanisms of resistance may include novel *EGFR*, *KIT*, *MET*, ROS proto-oncogene 1, receptor tyrosine kinase (*ROS1*) or secondary *ALK* mutations not previously identified (10). Ceritinib, a second generation *ALK* inhibitor with greater potency compared to crizotinib, received accelerated FDA approval for the treatment of metastatic *ALK*-positive NSCLC in patients who were previously treated with crizotinib. A phase I study

demonstrated ORRs of 58% and 56% in crizotinib naïve and resistant cases, respectively (11). As evident by crizotinib and ceritinib, the drug development paradigm for highly targeted therapies is changing, allowing earlier, accelerated approval of exceedingly effective therapies, years before phase III randomized studies are completed. Additionally, companion diagnostic test approval will become increasingly common with targeted therapy approval, particularly for newly identified biomarkers [i.e., Vysis *ALK* Break Apart fluorescence in-situ hybridization (FISH) Probe Kit to detect *ALK* rearrangements].

Lastly, evidence suggests that patients with *ALK*-positive NSCLC have improved survival after radiotherapy for brain metastases compared with *EGFR*, *KRAS* or wild-type tumors. The median overall survival (OS) was 13.6, 26.3, 5.7 and 5.5 months in patients with *EGFR*, *ALK*, *KRAS* or wild-type tumors. Subsequent receipt of targeted therapy was also associated with additional improvement in OS (12).

***BRAF* gene**

BRAF mutations have been identified in a wide range of cancers including 50% of malignant melanomas, 45% of papillary thyroid cancers, 10% of colorectal cancers, and 3% of lung cancers (13). Mutations in *BRAF* result in constitutive activation of downstream signaling through the *MAPK* pathway (14). Approximately 50-90% (depending on anatomical location) of these mutations result in the substitution of glutamic acid for valine at codon 600 (*V600E*) (15). In contrast to lung cancer patients with *EGFR* mutations and *ALK* rearrangements who are mostly never smokers, patients with *BRAF* mutations tend to be current or former smokers.

Vemurafenib, a potent and selective *BRAF V600E* inhibitor, and its companion diagnostic test (Cobas 4800 *BRAF* V600 Mutation Test) received accelerated FDA approval upon demonstrating significant improvements in OS and PFS compared to dacarbazine in metastatic melanoma patients harboring the *BRAF V600E* mutation [hazard ratio (HR) =0.37 for OS, HR =0.26 for PFS; $P<0.001$ for both] (14). Patients with *BRAF*-mutated colorectal tumors tend to have significantly shorter PFS and OS compared to wild-type patients, and also have the potential to impair the effects of EGFR-inhibitor therapy in *KRAS* wild-type patients (15). However, no benefits with vemurafenib were noted in colorectal cancer, indicating the significance of tumor origin and microenvironment (16). The data for *BRAF*

inhibition in lung cancer is scarce, although case reports have demonstrated clinical activity with vemurafenib (complete response after 6 weeks of therapy in a patient with refractory stage IV NSCLC) (17). Another case report demonstrated clinical activity in a metastatic NSCLC patient with brain metastases, with regression of both visceral and intracranial disease (18). Interim results of a phase II study of dabrafenib in *BRAF V600E*-positive NSCLC patients who failed at least one line of chemotherapy showed early antitumor activity with an ORR of 54% (19).

A number of mechanisms have been elucidated for *BRAF* resistance, including the paradoxical activation of the *MAPK* pathway through *RAS* mutations (20). Studies have demonstrated significantly improved OS and PFS in metastatic melanoma patients receiving a concomitant mitogen-activated protein/extracellular signal-regulated kinase (MEK) inhibitor, trametinib, in combination with a selective *BRAF* inhibitor, dabrafenib (21). Both drugs received FDA approvals in 2013 for the treatment of patients with unresectable or metastatic melanoma with *BRAF V600E* or *V600K* mutation who have not already received a *BRAF* inhibitor. Similar mechanisms of resistance may be translated to lung cancer. A randomized phase II trial of docetaxel with and without the *MEK* inhibitor selumetinib revealed that the combination resulted in superior OS, and a statistically significant improvement in PFS and objective response rate (22). Based on promising preclinical data (23), combination of targeted therapies, such as dabrafenib plus trametinib, may ultimately prove useful in treating *BRAF*-positive NSCLC and should be explored further.

***C-KIT* gene**

The *C-KIT* proto-oncogene encodes a receptor tyrosine kinase, which binds to stem cell factor ligand. This interaction allows for the development of melanocytes, erythrocytes, germ cells, and mast cells, ultimately resulting in dimerization, autophosphorylation, and signal transduction (24). While gain-of-function *C-KIT* mutations are found in approximately 85% of gastrointestinal stromal tumors (GIST) and are predictive of response to imatinib therapy (25), research suggests approximately 40% of small-cell lung cancers (SCLC) overexpress *C-KIT* (26). However, expression of *C-KIT* in SCLC failed to demonstrate a significant impact as a predictive biomarker of survival, possibly due to tumor microenvironment, resulting in

futility of target inhibition in this setting (26). Alternatively, evidence suggests *C-KIT* mutations may be a prognostic factor for worse survival (27). Current literature on *C-KIT* inhibition in SCLC is limited and continued researches on its prognostic and predictive value are necessary.

Epidermal growth factor receptor (EGFR)

Activating *EGFR* mutations result in constitutive signaling via the PI3K-AKT and RAS-MEK-ERK pathways (28). Deletions in exon 19 and a missense mutation at exon 21, resulting in an arginine to leucine substitution (L858R), account for 90% of all *EGFR* mutations. Approximately 15-20% of NSCLCs harbor mutated *EGFR*, resulting in significantly improved PFS and OS when treated with small molecule TKIs targeting the *EGFR* domain (erlotinib, gefitinib, afatinib) compared to traditional platinum-based chemotherapy (29). Zhou *et al.* prospectively tested NSCLC patients for mutated *EGFR* and evaluated first-line erlotinib versus chemotherapy (30). Median PFS was significantly longer in erlotinib-treated patients compared to those receiving chemotherapy (13.1 *vs.* 4.6 months, HR 0.16, 95% CI, 0.10-0.26; $P < 0.0001$). The ORR was 83% and 36% for erlotinib and chemotherapy-treated patients, respectively (30). Subgroup analyses from clinical trials revealed that patients with certain clinical and histologic characteristics (female, patients of East Asian descent, non-smokers, and those with adenocarcinomas) are more likely to harbor *EGFR* mutations (31,32).

Currently, screening for *EGFR* mutations is used to select stage IV NSCLC patients that should receive erlotinib in the first-line setting. In 2013, the FDA approved a companion diagnostic test for erlotinib (Cobas *EGFR* Mutation Test) and authorized expanded approval for first-line use in patients with metastatic NSCLC that tests positive for the *EGFR* activating mutation (33). Also in 2013, a second generation *EGFR* inhibitor, afatinib, received FDA approval for the first-line treatment of patients with metastatic NSCLC whose tumors have *EGFR* mutations. Afatinib's irreversible binding mechanism of action allows for enhanced activity in resistant tumors that have progressed after initial *EGFR* inhibitor therapy (34). In a phase III trial, 1,269 NSCLC patients with *EGFR* mutations were randomized to receive afatinib or standard chemotherapy (cisplatin and pemetrexed). The median PFS was 11.1 and 6.9 months in the afatinib and chemotherapy arms, respectively (35).

Two primary mechanisms of resistance to *EGFR*

inhibitors include a secondary point mutation in *EGFR* (*T790M*) that blocks the capacity for erlotinib to inhibit the receptor, and the amplification of *MET*, which activates similar downstream signaling pathways (36). Drugs targeting *EGFR T790M* mutations and *MET* amplifications are currently under development.

Human epidermal growth factor receptor-2 (HER2)

HER2 is one of the molecular hallmarks of breast cancer and has resulted in the development of several successful targeted therapies. *HER2* or *ERBB2*, is a member of the ERBB receptor tyrosine kinase family, which includes three additional members: *EGFR (HER1/ERBB1)*, *HER3 (ERBB3)* and *HER4 (ERBB4)*. The binding of ligands to the extracellular domain of these receptors results in dimerization, activating a catalytic cascade of events involved in cellular proliferation, differentiation and migration. *HER2* status represents both a prognostic and predictive biomarker as overexpression is associated with higher breast cancer recurrence and mortality rates without consideration of pharmacological therapy; however, *HER2* overexpression also predicts response to anti-*HER2* targeted therapies, which has resulted in drastic improvements in median survival (37). Overexpression of *HER2* may be diagnosed using immunohistochemistry (IHC) analysis (for protein expression) or FISH (for gene expression).

Trastuzumab, the first monoclonal antibody targeting the extracellular domain of *HER2*, was approved in 1998 as first-line treatment in combination with paclitaxel for *HER2*-positive advanced and metastatic breast cancer (38). Lapatinib, a small molecule TKI targeting the intracellular domain of *HER2*, resulted in extended survival in metastatic *HER2* positive breast cancer in combination with capecitabine compared to capecitabine alone (39). Pertuzumab, an anti-*HER2* humanized monoclonal antibody that inhibits receptor dimerization, prolonged PFS in metastatic breast cancer patients when combined with trastuzumab and docetaxel compared to trastuzumab and docetaxel alone (40). Trastuzumab emtansine (T-DM1), an antibody-drug conjugate combining the targeted strategy of trastuzumab with the cytotoxic properties of emtansine, prolonged PFS and OS in patients with *HER2* positive, advanced BC previously treated with trastuzumab and a taxane (41).

Although *HER2* overexpression and amplification has been described in 6-35% and in 10-20%, respectively, of NSCLC patients, the first clinical trials including patients

treated with trastuzumab and gemcitabine-cisplatin or docetaxel, failed to demonstrate an OS benefit in *HER2*-positive patients (42,43). *HER2* mutations have been reported to exist in approximately 1-4% of NSCLC and are more common in Asians, non-smokers, women and those with adenocarcinomas (44). Considering that *HER2*-positive NSCLC may benefit from *HER2* inhibition or dual *EGFR/HER2* inhibitions, TKIs simultaneously targeting *EGFR/HER2* have been investigated. Case reports of afatinib in patients with *HER2*-positive NSCLC have suggested promising outcomes. Of five patients harboring *HER2* mutations, three observed objective responses (45). However, studies with neratinib, an irreversible pan ERBB inhibitor, suggested no benefit in response in *HER2*-positive NSCLC (44). Lastly, dacomitinib, another irreversible ERBB inhibitor, has demonstrated a 14% partial response rate in *HER2*-positive NSCLC (46). Continued research in larger patient populations will provide a better understanding of the clinical utility of *HER2* (or pan-*ERBB*) inhibition in *HER2* positive NSCLC.

Janus kinase 2 (JAK2)

JAKs are non-receptor TKs that mediate the transmission of cytokine and growth-factor-induced intracellular signals. The mutation is a single nucleotide change, resulting in a valine to phenylalanine substitution at codon 617, and occurs in approximately 55% of patients suffering from myeloproliferative disorders (47). The transcription of numerous pro-proliferative and anti-apoptotic genes are up-regulated upon activation of the JAK-STAT pathway. Ruxolitinib is the first *JAK* inhibitor approved by the FDA for treatment of patients with myelofibrosis or myeloproliferative disorders. In the COMFORT-II trial, the proportion of patients achieving at least a 35% reduction in spleen volume at week 48, was 28.5% for ruxolitinib and 0% for best available therapy ($P < 0.0001$) (48).

Although *JAK* mutations in NSCLC are rare, data suggests that the activation of *JAK2* partially accounts for acquired erlotinib resistance. The combination of *JAK2* inhibition with erlotinib in erlotinib-resistant lung cancer cell lines demonstrated restored sensitivity to erlotinib and reduction in tumor size in a murine xenograft model (49). Another study demonstrated a commonly mutated pathway in solid tumors, *STAT3*, is activated by *JAK2* independent of other key oncogenic drivers in NSCLC; however, treatment with ruxolitinib in *STAT3*-activated NSCLC

cell lines did not result in growth inhibition (50). Clinical trials are currently underway to investigate the influence of *JAK2* inhibition with ruxolitinib in NSCLC patients receiving chemotherapy or erlotinib (ClinicalTrials.gov NCT02119650 and NCT02155465, respectively).

KRAS gene

Mutations of the *KRAS* oncogene have emerged as a powerful negative predictive biomarker to identify patients with metastatic colorectal cancer who do not benefit from *EGFR*-inhibitor therapies, such as panitumumab and cetuximab. Roughly 40% of colorectal tumors harbor a *KRAS* mutation (51). *KRAS* functions as a mediator between the extracellular ligand binding and intracellular signal transduction from the *EGFR* and nucleus (52). The autophosphorylation of the intracellular TK domains at codons 12 and 13 of exon 2 confers constitutive activity of downstream signaling pathways, including RAS-RAF-MAPK and PI3K-AKT pathways (51). Significant improvements in PFS were seen in *KRAS* wild-type colorectal cancer patients receiving *EGFR*-inhibitor therapy in combination with FOLFOX or FOLFIRI, while PFS was reduced in patients harboring *KRAS* mutations (53,54).

A meta-analysis of *KRAS* mutations in NSCLC described a frequency of 26% in tumors of current/former smokers, and 6% in tumors of never smokers (55). *KRAS* mutations have been identified as a predictor of resistance to *EGFR*-TKIs in NSCLC (56). While patients with *KRAS* mutated tumors experienced a suboptimal response to *EGFR*-TKIs, *KRAS* mutation status did not appear to affect OS (57). *KRAS* mutations are typically mutually exclusive of *EGFR* mutations and *ALK* translocations. While it has traditionally been extremely difficult to develop drugs to specifically target *KRAS* mutations, recent advances have been made to identify downstream pathways and co-mutations that indirectly affect *KRAS*, such as *STK11* and *TP53*. Early research suggests that a MEK inhibitor plus docetaxel can effectively target these co-mutations. In a preclinical study, *KRAS* mutated mice (also mutated for *STK11* and *TP53*) were treated with docetaxel alone or with an investigational MEK inhibitor, selumetinib (58). Concomitant loss of either *TP53* or *LKB1* markedly impaired the response of *KRAS*-mutant cancers to docetaxel monotherapy. The addition of selumetinib provided substantial benefit for mice with lung cancer caused by *KRAS* and *KRAS*-plus-*TP53* mutations, though mice with co-mutations in *KRAS* and *LKB1* were resistant to the combination. A phase II randomized trial of

selumetinib plus docetaxel in *KRAS*-mutant NSCLC patients demonstrated a PFS of 5.3 months with the combination versus 2.1 months with docetaxel alone ($P < 0.05$). Response rates were 37% and 0%, and median OS times were 9.4 and 5.3 months, respectively (22). Another oral MEK1/MEK2 inhibitor, trametinib, demonstrated efficacy in combination with docetaxel in *KRAS*-mutant and wild-type NSCLC (59). Confirmatory clinical trials are ongoing to validate the use of these agents in *KRAS*-mutant NSCLC.

Programmed cell death 1 (PD-1), programmed death-ligand 1 (PD-L1), PD-L2

Cancer immunotherapy rests on the premise that tumors can be recognized as foreign rather than self and can be effectively attacked by an activated immune system. However, during tumor progression, acquisition of traits that allow cancer cells to evade immune surveillance may occur by exploiting checkpoints that control the regulatory immune response (60). PD-1 receptor is an inhibitory receptor that is expressed by T cells with its ligand (PD-L1) found in the tumor microenvironment and a second ligand, PD-L2, expressed by antigen presenting cells (61). PD-L1 and PD-L2 have been shown to down-regulate T-cell activation upon binding to PD-1, especially in cancer, thus interrupting immune response (62).

Pembrolizumab is a highly selective, humanized monoclonal IgG4-kappa isotype antibody that acts against PD-1 and blocks the negative immune regulatory signaling of the PD-1 receptor (61,63). Pembrolizumab has been investigated in a number of tumor types, mostly melanoma, but also NSCLC, sarcoma, carcinoid, colorectal, prostate, breast, ovarian, gastric, pancreatic and renal cell cancer (61,63-65). Grade 3 or 4 adverse events have included elevated aminotransferase, renal failure, diarrhea, hypothyroidism, fatigue, abdominal pain, decreased appetite, rash, pruritis (61). Pembrolizumab received accelerated FDA approval in September 2014 for the treatment of melanoma in patients with unresectable or metastatic disease who have disease progression following treatment with ipilimumab and, if BRAF V600 mutation positive, a BRAF inhibitor. In a phase I study of 450 NSCLC patients who had received prior chemotherapy, 159 patients had tumors with strong PD-L1 expression and received pembrolizumab 10 mg/kg IV every 3 weeks. The response rate was 23% with duration of response of 31 weeks. However, in 35 patients with tumors that were PD-L1 negative, the response rate was 9% (66). Further work is ongoing to determine the predictive nature

of PD-L1 expression.

Priority review and breakthrough status was granted for nivolumab (an anti-PD-1 antibody) after investigators demonstrated significantly better response and survival outcomes with nivolumab compared to investigator's chemotherapy in the second line treatment of patients with advanced melanoma. Subsequently, the FDA expanded the approved use to treat metastatic squamous cell NSCLC in patients who have progressed on or after platinum-based chemotherapy. In a phase I trial with expansion cohorts of 129 NSCLC patients receiving nivolumab (1 mg/kg, 3 mg/kg, or 10 mg/kg IV every 2 weeks), the ORR was 17.1% and appeared similar between squamous and non-squamous histologies. A difference in ORR between dose levels was observed: 3% for 1 mg/kg, 24.3% for 3 mg/kg and 20.3% for 10 mg/kg. The median PFS and OS were 2.3 and 9.6 months, respectively. One year after starting therapy, 42% of patients were still alive and durable responses were common with a median duration of response of 74 months (65). CheckMate-017, a phase III randomized study comparing second-line docetaxel to nivolumab (3 mg/kg) in patients with squamous cell NSCLC, was stopped early as the Data Monitoring Committee deemed that the trial had met its primary endpoint, demonstrating superior OS in patients treated with nivolumab (67). Currently, no validated marker exists to identify patients most likely to respond to anti-PD-1 therapy; however, continued investigations into the predictive value of PD-1 and PD-L1 expression is ongoing.

Investigational cancer biomarkers and lung cancer

c-MET

Signaling through the c-MET/human growth factor (HGF) pathway has been shown to trigger a variety of cellular responses, including growth, motility, metastasis, angiogenesis and tissue regeneration (68). High levels of HGF have been associated with more aggressive biology and a worse prognosis in NSCLC and SCLC. *c-MET* is normally expressed by epithelial cells and has been found to be overexpressed and amplified in a variety of human tumor tissues. Furthermore, the *c-MET* pathway is one of the key players in the development of acquired resistance to the vascular endothelial growth factor (VEGF) pathway inhibitors (68). Tumor microarray expression analysis demonstrated 72% *c-MET* expression in human lung cancer tissue and 40% *c-MET* receptor over-expression. Acquired *c-MET* amplification has also been linked to approximately

22% of non-*T790M* mediated secondary gefitinib resistance in NSCLC patients (69).

A selective *c-MET* inhibitor, tivantinib, has been studied in three phase I trials, either alone or in combination with erlotinib (68). The combination regimen was further studied in a phase II randomized study, which demonstrated a median PFS of 3.8 months in the combination arm versus 2.3 months in the erlotinib arm (HR 0.81, P=0.24), with no significant difference in ORR or OS (70). However, a trend towards greater benefit with the addition of tivantinib was evident in patients with *c-MET* positive tumors. Continued work is ongoing to further assess this agent in NSCLC. Non-selective *c-MET* inhibitors include crizotinib and cabozantinib. Crizotinib was initially synthesized as a *c-MET* inhibitor; however, after observing dramatic response in *ALK*-positive NSCLC, this drug essentially became recognized as an *ALK* inhibitor (68). Early, phase I data suggest adding cabozantinib to erlotinib is safe and effective, and is currently being explored in phase II trials. Lastly, *c-MET* targeted monoclonal antibodies are being studied in this setting, including onartuzumab (MetMab) (68). Phase II data suggests prolonged PFS (3.0 vs. 1.5 months; HR 0.47; P=0.01) and OS (12.6 vs. 4.6 months; HR 0.37; P=0.002) in patients with *c-MET* positive NSCLC receiving MetMab plus erlotinib versus erlotinib alone (71). As such, a phase III trial is ongoing to validate these findings.

Fibroblast growth factor receptor (FGFR)

The *FGFR* tyrosine kinase family is comprised of four kinases, *FGFR1*, 2, 3, and 4, that play a critical role in cell survival and tumor growth. Genetic alterations of *FGFRs* can lead to deregulated activation in various cancers, including breast, colorectal, bladder, in addition to lung cancer and others. A pan-*FGFR* TKI has been shown to block tumor proliferation in a subset of NSCLC cell lines with activated *FGFR* signaling but has no effect on cells that do not activate the pathway (72). A study demonstrated that *FGFR1* is amplified in 21% of lung squamous cell carcinomas and 3.4% of lung adenocarcinomas (73), suggesting *FGFR1* may be a potential target in mutation-positive lung cancers. In a phase I study, a selective pan-*FGFR* inhibitor demonstrated safety in patients with *FGFR*-positive squamous cell carcinoma of the lung. Early analysis demonstrated partial responses; however, robust efficacy data is not yet published (74). Another phase I trial is ongoing to assess *FGFR* inhibition in patients with a variety of solid tumors, including *FGFR* positive lung cancer (NCT01962532).

PIK3CA

The PI3K pathway is related to tumor growth in a variety of human cancers. PI3K-dependent activity is frequently elevated due to mutations of *PIK3CA*, the gene encoding PI3K, in addition to the loss of phosphatase and tensin homolog (PTEN) protein, a tumor suppressor with a critical role in regulating the PI3K pathway. *PI3KCA* activation initiates events leading to phosphorylation of Akt, which affects additional downstream signaling proteins involved in cell growth, metabolism, proliferation, survival, motility, and invasion (75). In one study, *PIK3CA* mutations in NSCLC were found in 3.9% of squamous cell carcinoma and 2.7% of adenocarcinoma. Furthermore, among *PIK3CA* mutant cases, about 50% of tumors harbored concurrent *EGFR* mutations and 10% had *KRAS* mutations. *PIK3CA* mutation was significantly associated with high expression of PI3K, p-Akt and mTOR, but not correlated with *PIK3CA* amplification. Patients with single *PIK3CA* mutation had shorter OS than those with *PIK3CA-EGFR/KRAS* co-mutation or wild-type *PIK3CA* (P=0.004). A significantly worse survival was also found in patients with *PIK3CA* mutations than those without *PIK3CA* mutations in the *EGFR/KRAS* wild-type subgroup (P=0.043), suggesting that *PIK3CA* mutations confer a worse prognosis (76).

A preclinical study demonstrated that targeted inhibition of *PIK3CA* in SCLC models harboring *PI3KCA* mutations resulted in cell apoptosis, inhibition of cell viability, transformation, and xenograft tumor growth, suggesting a potential role for *PI3KCA* inhibitors in mutated SCLC (77). Ongoing or recently completed trials in lung cancer include single-agent PI3K inhibitors (NCT01501604), as well as combinations with chemotherapy (NCT00974584, NCT00756847) (78).

Conclusions

The implementation of genomic cancer medicine relies on the foundation that genetic aberrations exist in cancer, driver oncogenic events promote mutagenesis, and these aberrations are actionable with highly targeted anticancer agents available to effectively modulate driver mutations (2). Increasing knowledge of tumor molecular profiling has led to more sophisticated treatment guidelines, such as those displayed in *Figure 1*. Understanding the molecular profile of tumors can help clinicians decide on the most appropriate treatment course, assist in therapeutic decision making aimed at preventing or overcoming chemoresistance, and ultimately maximize the number of effective treatment

options while minimizing patients' exposure to ineffective, yet toxic, therapies. These potential applications have resulted in a large collaboration, called Lung-MAP, among the National Cancer Institute (NCI), Southwest Oncology Group (SWOG), Friends of Cancer Research, the Foundation for the National Institutes of Health (FNIH), five pharmaceutical companies (Amgen, Genentech, Pfizer, AstraZeneca and MedImmune), and Foundation Medicine. Lung-MAP is a multi-drug, multi-arm, biomarker-driven clinical trial for patients with advanced squamous cell lung cancer (<https://clinicaltrials.gov/ct2/show/NCT02154490>). Real-time biopsies and diagnostic tests will identify whether patients should receive one of five therapies: an EGFR inhibitor, a PIK3CA inhibitor, a CDK4/6 inhibitor, an EGFR inhibitor, or an anti-PD-L1. A single master protocol can be amended as needed as drugs enter or exit the trial based on efficacy. Collaborative, biomarker-driven clinical trials may prove to be more clinically and cost-effective than traditional large, randomized phase III trials.

The number of pharmacogenetic assays available to identify biomarkers is continuously expanding, with several receiving accelerated FDA clearance and/or approval. The decreasing cost of assays and increasing coverage by third party payers will allow wide accessibility of these assays in clinical practice. While next generation sequencing technologies allow for the identification of a multitude of biomarkers, these technologies are not widely available in the community setting and insurance coverage remains a challenge. However, as the costs of genome sequencing continues to decline to less than \$1,000, increasing demand from physicians and patients will shift routine testing from research to clinical practice, in addition to a shift from singleplex testing to multiplex sequencing. As the availability of genomic information and our knowledge of cancer at the molecular level continues to progress, clinicians must understand these intricate molecular pathways, the therapeutic implication of mutations within these pathways, and the clinical assays available to identify such biomarkers.

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Footnote

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