



# Four-year follow-up outcomes after stereotactic body radiation therapy for central early-stage non-small cell lung cancer

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**Background:** Previous research has shown that stereotactic body radiation therapy (SBRT) can achieve a high level of tumor control in patients with early-stage non-small cell lung cancer (NSCLC). However, to date, such studies have mainly focused on peripheral early-stage patients. This study aimed to assess the clinical outcomes and toxicity of patients with central lung cancer treated with SBRT in our institution.

**Methods:** A total of 31 consecutive central early-stage NSCLC patients who were treated with SBRT using the biologically effective dose (BED;  $\alpha/\beta = 10$ ) 100–119 Gy in 4–10 fractions between April, 2013, and August, 2016, were reviewed. The RTOG 0813 trial standard was used to define whether the NSCLC was centrally located. All patients received four-dimensional computed tomography (4D CT) simulation. Intensity modulated radiation therapy (IMRT) and three-dimensional conformal radiation therapy (3D CRT) techniques were used in treatment planning. The dose to the planning target volume (PTV) was prescribed to the 95% isodose line. Mainly dosimetric parameters, clinical outcomes, and toxicity were analyzed.

**Results:** The 31 patients enrolled in the study had a median follow-up time of 47.7 months, and the median tumor diameter was 2.2 cm (range, 1.3–5.0 cm). A total of 15 patients (48.4%) developed disease recurrence. The incidences of local, regional, and distant disease recurrence at 3 years were 11.7%, 9.7%, and 30.7%, respectively; at 5 years, they were 21.5%, 15.0%, and 35.0%, respectively. The 3- and 5-year progression-free survival (PFS) rates were 55.1% and 40.5%, respectively; the corresponding overall survival (OS) rates were 85.3% and 68.4%, respectively. Toxicities of grade 3 or higher were observed in 6.5% of the patients. None of the patients experienced grade 4 or 5 acute adverse events; however, 2 patients possibly died of treatment-related late toxicity.

**Conclusions:** SBRT with a BED 100 Gy in 4–10 fractions is effective and acceptable for treating patients with central early-stage NSCLC. Further studies are warranted.

**Keywords:** Non-small cell lung cancer (NSCLC); outcome; stereotactic body radiotherapy; toxicity; central tumor

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## Introduction

Lung cancer kills more people than any other cancer worldwide. Non-small cell lung cancer (NSCLC) comprises approximately 85% of all lung cancer cases (1). Surgery is the first choice of treatment for operable early-stage lung cancer. Stereotactic body radiation therapy (SBRT), as a high-precision, low-toxic radiation therapy, has achieved great progress in early-stage NSCLC. Compared with conventional standard radiotherapy, SBRT can achieve a high level of local control (LC) of the primary disease without increasing toxicity in short treatment times (2). For SBRT, compared with less intensive regimens, intensive regimens of BED  $\geq 100$  Gy are related with remarkably better local control and survival (3). SBRT is recommended as a treatment of choice for patients with medically inoperable early-stage peripheral lung cancer and is most commonly used for tumors up to 5 cm in size. However, controversy still surrounds the outcomes and safety of SBRT for patients with central lung cancer.

Treating central tumors (within 2 cm of the carina) is challenging. Because of toxicity, many studies have focused on the treatment of central tumors. In Timmerman's phase II study, the total SBRT treatment dose was 60–66 Gy, delivered in 3 fractions over 1–2 weeks; 6 of 70 patients died as a result of treatment-related toxicity (4). The landmark RTOG 0236 trial did not include patients with cancer located in the central lung (5), which at that time was referred to as the “no fly zone”. More recently, the RTOG 0813 reported that it is safe and effective to use SBRT in central NSCLC. In that study, patients were treated with a modified SBRT regimen with 50–60 Gy divided into 5 fractions. In RTOG 0813, the definition of central lung cancer was expanded to include tumors within or around the proximal bronchial tree (PBT), including the trachea, the pericardium, mediastinum, and the spine, and the results indicated good efficacy and safety despite the occurrence of grade  $\geq 3$  toxicity (6).

Since the optimal dose regimen for the treatment of central tumors is unclear, various regimens have been used around the world based on institutional experience. Published research has reported diverse regimes using biologically effective doses (BEDs) of 43–180 Gy (6–11). Moreover, the experience of using SBRT in clinical practice in China is particularly limited. Herein, taking this uncertainty into consideration, we report our institutional experience of SBRT for central tumors.

We present the following article in accordance with the STROBE reporting checklist (available at <http://dx.doi.org/10.21037/tlcr-20-851>).

## Methods

### *Patient characteristics*

The data of 31 consecutive central early-stage NSCLC patients who received SBRT in our hospital between April, 2013, and August, 2016, were retrospectively analyzed. Central tumors were defined as those within or touching the area of the PBT (within 2 cm of the PBT or immediately adjacent to the mediastinal/pericardial pleura). The included patients all had an Eastern Cooperative Oncology Group (ECOG) performance status score of between 0 and 2, and stage T1-2N0M0 tumors according to the seventh edition of American Joint Committee on Cancer (AJCC) staging system. Each patient underwent computed tomography (CT) and positron emission tomography-computed tomography (PET-CT) scans in the four weeks before registration. All of the patients were assessed as medically inoperable by an experienced thoracic surgeon. Patients whose tumors had previously not been irradiated were included, as were those with cytologic or histologic proof of primary NSCLC or with a clinical diagnosis according to medical history and imaging examinations. There were no restrictions on pulmonary function tests. The study protocol was approved by the human research ethics committee of our institution, and signed informed consent was obtained from all patients. The study was conducted in accordance with the Declaration of Helsinki (as revised in 2013).

### *SBRT treatment*

All patients underwent four-dimensional CT (4D CT)-based simulation. If the tumor moved more than 1.5 cm, respiratory gating was considered. The patients were immobilized using a vacuum bag. At our center, the gross tumor volume (GTV) is defined as the pulmonary tumor observed on CT imaging by maximal intensity projection. The internal target volume (ITV) was contoured based on the GTV and edited at the lung window based on 4D-CT to include the full movement of the tumor. The planned target volume (PTV) was defined as the ITV plus a 5-mm setup margin and was modified at the discretion of the physician. The dose prescription was chosen to ensure 95%,

99%, and 100% of the PTV, ITV, respectively, received the prescribed dose. Organs at risk and dosimetric limits included the spinal cord, esophagus, heart, normal lung, trachea, and the PBT. The dosimetric parameters were calculated using the treatment planning system (RayStation version 4.5.1, RaySearch Laboratories, Stockholm, Sweden). Treatment was delivered using a 6-MV or 10-MV linear accelerator with either multiple static intensity modulated radiation therapy or three-dimensional (3D) conformal treatment. Cone beam CT was implemented before each treatment fraction. According to the dose prescribed by the treating and chief physicians, medical physicists formulated treatment plans for each patient. According to the experience of Timmerman *et al.* in 2011, dose constraints were implemented to critical structures (12).

#### *Follow-up and outcome assessment*

All patients were advised to attend regular follow-up examinations at our hospital. The first follow-up was performed one month after SBRT, then every three months for two years, and every six months thereafter. Examinations included routine blood tests, B-ultrasonography of the supraclavicular lymph nodes and abdomen, and contrast-enhanced CT of the chest. PET-CT scans were performed when it was not clear whether the disease was progressing. Patients who attended follow-up at local hospitals were contacted and assessed by the treating physicians. The infield effect was evaluated according to the Response Evaluation Criteria in Solid Tumors (RECIST), version 1.1, while treatment-related toxicity was scored according to the Common Terminology Criteria for Adverse Events (CTCAE), version 5.0. An adverse event occurring <3 months after radiotherapy was defined as acute toxicity, and an event occurring >3 months after radiotherapy was defined as late toxicity. When a single patient had multiple reports of toxicity, only the highest score was included in the analysis. Different radiation oncologists reviewed deaths with an unknown cause that were possibly related to treatment and scored as a grade 5 toxicity if they were found to be related to treatment. The follow-up duration was measured from the first day of SBRT to death or the date of last follow-up. Events of interest included LC, regional progression-free time (RPFT) and distant progression-free time (DPFT), progression-free survival (PFS), and overall survival (OS), which were related to local failure, regional lymph node metastasis, distant failure, disease progression or death, and death, respectively. The LC, RPFT, DPFT, PFS, OS, and

toxicity rates were calculated from the starting day of SBRT until the occurrence of an event of interest, or death or last follow-up.

#### *Statistical methods*

Descriptive statistics were expressed as median values, frequency, and percentages. The Kaplan-Meier method was employed to generate survival curves for LC, RPFT, DPFT, PFS, and OS. The potential predictive factors of PFS and OS were identified with univariate and multivariate Cox proportional hazard regression models. A P value of <0.05 was considered statistically significant. Statistical analyses were performed with SPSS software (version 22.0, SPSS Inc., Chicago, Illinois, USA).

## **Results**

#### *Patient and tumor characteristics*

A total of 31 central early-stage NSCLC patients were enrolled in this study. The tumors seen on enhanced lung CT and fluorine-18-fluorodeoxyglucose (<sup>18</sup>F-FDG) PET/CT were treated with SBRT. Of the 24 patients whose lesions were touching the 2-cm area around the PBT, 19 patients had lesions adjacent to the mediastinal pleura, 5 patients had lesions adjacent to the pericardial pleura, and 13 patients' lesions were ultra-central. The median age of the patients was 75 years (range, 57–88 years). NSCLC was pathologically proven in 22 patients (71.0%), and 6 patients (19.4%) had squamous-cell carcinoma. The median diameter of the tumors was 2.2 cm (range, 1.3–5.0 cm). *Table 1* summarizes the pretreatment characteristics and outcomes of the patients.

#### *Radiotherapy treatment details*

*Table 2* lists the characteristics of the radiotherapy treatment received by the patients. Representative CT images of SBRT for central tumors are shown in *Figure S1*. The median dose of SBRT was 50 Gy/5 fractions and the median BED ( $\alpha/\beta = 10$ ) was 100 Gy (range, 100–119 Gy). The median volume of the PTV was 35.0 cm<sup>3</sup> (range, 10.5–122.0 cm<sup>3</sup>).

#### *Clinical outcomes*

*Figure 1A,B* show the Kaplan-Meier curves of LR, RR,

**Table 1** The pretreatment characteristics and outcomes of the 31 enrolled early-stage NSCLC patients

Characteristic	No. of patients (%)
Gender	
Female	7 (22.6)
Male	24 (77.4)
Age, y	
Median (range)	75 [57–88]
<80	22 (71.0)
≥80	9 (29.0)
Charlson Comorbidity Index	
0–4	8 (25.8)
≥5	23 (74.2)
Smoking status	
Never	12 (38.7)
Past or current	19 (61.3)
Histology	
Squamous cell carcinoma	6 (19.4)
Adenocarcinoma	11 (35.5)
NSCLC NOS	5 (16.1)
Unknown <sup>a</sup>	9 (29.0)
T stage <sup>b</sup>	
T1	26 (83.9)
T2	5 (16.1)
GTV location	
Touching 2-cm area around the PBT	24 (77.4)
Adjacent to the mediastinal pleura	19 (61.3)
Adjacent to the pericardial pleura	5 (16.1)
Ultra-central	13 (41.9)
Cumulative initial events <sup>c</sup>	15 (48.4)
LR	4 (12.9)
RR	4 (12.9)
DM	10 (32.3)
Median PFS (95% CI), mo	39.3 (14.6–64.0)
Median OS (95% CI), mo	64.3 (not reached–not reached)
≥ Grade 3 treatment-related acute toxicity	2 (6.5)

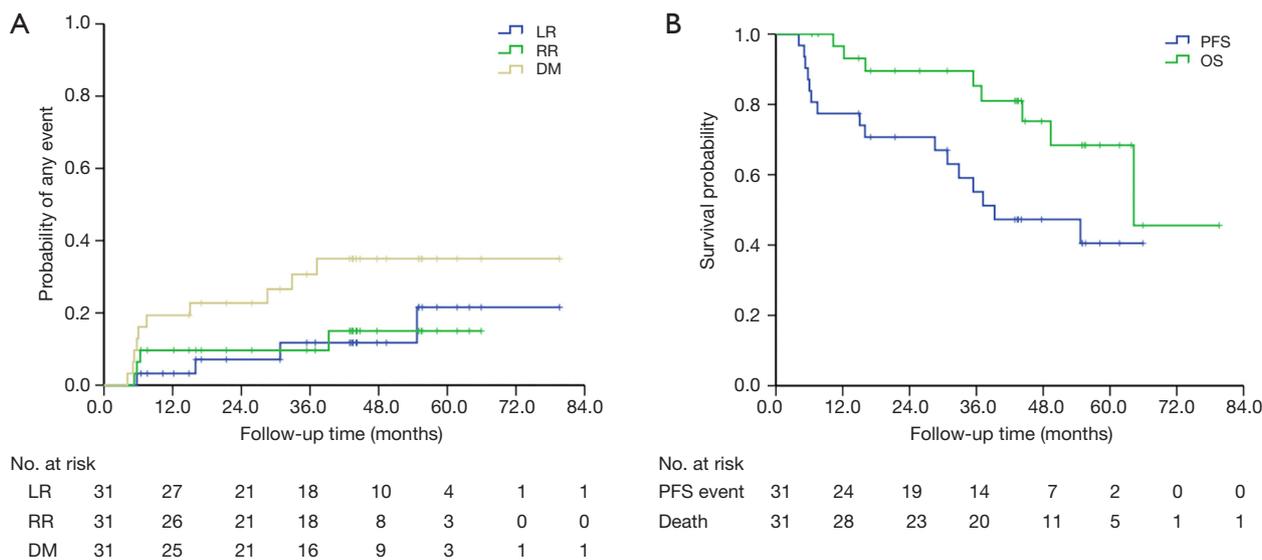
<sup>a</sup>, patients were clinically diagnosed according to their medical history and imaging examinations after refusing a biopsy; <sup>b</sup>, tumors no larger than 5 cm; <sup>c</sup>, three patients suffered simultaneous failure (one had RR and DM; one had all-sites failure). NSCLC, non-small cell lung cancer; NOS, not otherwise specified; GTV, gross tumor volume; PBT, proximal bronchial tree; LR, local disease recurrence; RR, regional disease recurrence; DM, distant metastasis; PFS, progression-free survival; OS, overall survival.

**Table 2** Treatment characteristics

Characteristic	No. of patients (%)
<b>Fractionation</b>	
70 Gy/7 Gy fx.	1 (3.2)
60 Gy/7.5 Gy fx.	1 (3.2)
50 Gy/10 Gy fx.	25 (80.6)
55 Gy/11 Gy fx.	1 (3.2)
50 Gy/12.5 Gy fx.	3 (9.7)
<b>PTV</b>	
PTV (cc), median (range)	35.0 (10.5–122.0)
<b>Lung dose parameters</b>	
Mean lung dose (Gy), median (range)	3.51 (1.0–6.6)
V5 (%), median (range)	16.3 (5.3–26.9)
V10 (%), median (range)	10.1 (3.2–20.1)
V20 (%), median (range)	4.5 (1.7–10.2)
V12.5 (cc), median (range)	277.3 (74.8–537.0)
V13.5 (cc), median (range)	251.0 (70.1–488.0)

DM, PFS, and OS. At last follow-up (February 20, 2020), 8 patients (25.8%) had died. The median follow-up interval was 47.7 months (range, 6.5–79.6 months).

Disease progression occurred in 48.4% (15/31) of patients, and 4 (12.9%), 4 (12.9%), and 10 (32.3%) patients experienced local, regional, and distant failure, respectively. Among these patients, one patient had local recurrence and regional metastasis, and one had local and regional recurrence as well as distant metastasis. Of the 15 patients whose disease progressed, 8 patients were treated: 3 patients received systemic therapy including chemotherapy and targeted therapy; 1 patient received chemotherapy, radioactive seed implantation, and radiofrequency ablation; 1 patient received targeted therapy and palliative radiotherapy for bone metastases; 1 patient received chemotherapy and lung re-irradiation with SBRT; 1 patient received palliative radiotherapy for brain metastases; and 1 patient received mediastinal lymph node radiotherapy. At 3 years, the estimated cumulative incidences of local, regional, and distant disease recurrence were 11.7%, 9.7%, and 30.7%, respectively; and at 5 years they were



**Figure 1** Outcomes of stereotactic body radiation therapy for central non-small cell lung cancer. Kaplan-Meier curves for early-stage patients: (A) local disease recurrence (LR), regional disease recurrence (RR) and distant metastasis (DM); (B) progression-free survival (PFS) and overall survival (OS).

**Table 3** Clinicopathological characteristics of the 8 patients who died

No.	Gender	Age, y	ECOG PS	CCI	Smoking status	Lung disease before SBRT	Histology	Size, cm	Dosage <sup>a</sup>	Survival, mo	Cause of death
1	M	78	1	5	1	No	NSCLC NOS	2.20	12.5×4	44.3	Lung cancer
2	W	73	2	6	0	Yes	AC	1.50	10×5	35.4	Cerebral infarction
3	W	67	1	4	0	No	AC	1.40	10×5	64.3	Cerebral hemorrhage
4	M	82	2	6	1	Yes	SCC	5.00	10×5	49.4	Lung cancer
5	M	60	1	5	1	Yes	AC	1.90	10×5	10.3	Lung cancer
6	M	80	0	5	0	No	AC	2.20	10×5	36.9	Myocardial infarction
7	M	79	0	5	1	No	AC	2.00	10×5	12.2	Treatment-related cau
8	M	82	1	6	1	Yes	SCC	2.80	10×5	16.1	Treatment-related cau

<sup>a</sup>, dose in Gy × number of fractions. ECOG, Eastern Cooperative Oncology Group; PS, performance status; CCI, Charlson Comorbidity Index; NSCLC, non-small cell lung cancer; NOS, not otherwise specified; M, man; W, woman; AC, adenocarcinoma; SCC, squamous cell carcinoma; cau, cause.

21.5%, 15.0%, and 35.0%, respectively. The median PFS for the patients in this study was 39.3 months [95% confidence interval (CI), 14.7–63.9 months]. The 3- and 5-year PFS rates were 55.1% and 40.5%, respectively. Eight patients (25.8%) died, of whom 3 were considered to have died from cancer-related causes, including distant metastases to the lung, liver, or brain. Two patients who died at home of unknown causes were considered to have possibly developed respiratory failure, which was scored as a grade 5 late toxicity after the patients' medical histories were reviewed by two radiation oncologists during follow-up. Three patients died of other diseases, including cerebral infarction (one patient), cerebral hemorrhage (one patient), and myocardial infarction (one patient). *Table 3* displays the clinicopathological characteristics of the 8 patients who died during follow-up. The median OS was 64.3 months (95% CI, not reached–not reached). The 3- and 5-year OS rates were 85.3%, and 68.4%, respectively. In the multivariate analysis, smoking ( $P=0.041$ ), Charlson Comorbidity Index  $\geq 5$  ( $P=0.046$ ), and adenocarcinoma ( $P=0.035$ ) were significantly associated with shorter OS. Smoking ( $P=0.027$ ) and Charlson Comorbidity Index  $\geq 5$  ( $P=0.032$ ) was significantly associated with shorter PFS. The Cox proportional hazard regression model was used in a multivariate analysis of PFS and OS (*Table 4*).

### Toxicity

Two (6.5%) of the 31 patients experienced grade  $\geq 3$  acute

toxicity. Both of these patients suffered grade 3 radiation pneumonitis. No acute grade 4–5 toxicity was reported. Two patients suffered grade 5 late toxicity.

### Discussion

The use of SBRT in the treatment of early-stage inoperable NSCLC can achieve excellent LC and has tolerable levels of toxicity. However, these results have mainly been observed in patients with peripheral tumors. In the treatment of central tumors with SBRT, optimizing the risk-benefit ratio is still an area that is being actively explored. This is because the risk of toxicity with SBRT for central tumors is higher than that for peripheral tumors. To date, the long-time profile of the efficacy and safety of SBRT for central early-stage NSCLC has not been well concluded. Moreover, the experience of using SBRT in clinical practice in China is particularly limited.

In this retrospective 4-year study, we investigated the efficacy of SBRT BED10 100–119 Gy delivered in 4–10 fractions for patients with central early-stage NSCLC with a 4-year median follow-up. To our knowledge, the current study has the longest follow-up time of the studies exploring the use of SBRT in central early-stage NSCLC patients. We observed outstanding outcomes, with low incidences of LR, RR, and DM (21.4%, 15.0% and 35.0%, respectively), and encouraging 5-year PFS and OS rates (40.5% and 68.4%, respectively). The incidence of grade  $\geq 3$  acute adverse events was extremely low; only 2 patients

**Table 4** Multivariate cox proportional hazard regression analysis of PFS and OS for the clinicopathological features of the 31 patients

Clinical factors	PFS		OS	
	HR (95% CI)	P value	HR (95% CI)	P value
Gender				
Female	1.00		1.00	
Male	0.52 (0.09–2.87)	0.449	0.93 (0.04–24.66)	0.964
Age, y				
<80	1.00		1.00	
≥80	0.89 (0.22–3.66)	0.868	2.14 (0.20–23.48)	0.534
Smoking status				
Never	1.00		1.00	
Past or current	8.53 (1.28–56.71)	0.027	32.09 (1.15–897.19)	0.041
Charlson Comorbidity Index				
0–4	1.00		1.00	
≥5	6.15 (1.17–32.32)	0.032	13.09 (1.05–175.87)	0.046
Histology				
Squamous carcinoma	1.00		1.00	
Adenocarcinoma	2.81 (0.51–15.31)	0.233	34.28 (1.28–918.99)	0.035
Others	0.36 (0.09–1.54)	0.169	0.26 (0.02–2.91)	0.272

HR, hazard ratio; CI, confidence interval; PFS, progression-free survival; OS, overall survival.

experienced grade 3 acute radiation pneumonitis (6.5%), and no grade 4 or 5 acute adverse events occurred.

The outcomes of recently published reports for central lung cancer are listed in *Table 5* (6-11). Most of these studies reported that SBRT achieved good LC and OS rates in central NSCLC; however, Schanne *et al.* reported 3-year LC and OS rates of only 52% and 29%, respectively (11). This difference may be explained by the wide range of doses in these studies; the median BED10 of 72 Gy given by Schanne was lower than those in most other studies (median BED ≥100 Gy). The LC rate in the current study was higher than in the previous studies, which may be attributable to BED10 ≥100 Gy carrying a longer LC and a lower risk of recurrence and metastasis compared to BED10 <100 Gy. Furthermore, the PTV in our study was relatively small, with a median volume of 35.0 cm<sup>3</sup> (range, 10.5–122.0 cm<sup>3</sup>), which could have also contributed to the lower rate of tumor recurrence. It has been reported that a higher radiation dose delivered to the PTV and a smaller tumor are related with better outcomes (13,14). However, the initial disease failure pattern indicated that DM is still the

predominant type of failure, which suggests that attention should be paid to systemic treatment in central early-stage NSCLC patients. More importantly, treatments that effectively eradicate occult metastases would change the disease status of patients and improve their prognosis. The use of concurrent or adjuvant chemotherapy in NSCLC patients treated with SBRT remains to be explored. In a cohort of T1-3N0M0 NSCLC patients who were treated with SBRT (20 patients with T1, 34 with T2, and 11 with T3), Chen *et al.* (15) treated 17 patients with cisplatin-containing adjuvant chemotherapy after SBRT. They found that chemotherapy after SBRT decreased the risk of tumor recurrence by 29% and increased the 5-year OS rate by 14%, without causing any severe infections or other toxicities. Recently, a combination of an immunotherapy and SBRT has been proposed based on evidence that immunotherapy changes the metastatic environment and may be better for eliminating micrometastasis (16-18). The PACIFIC 4 study, a phase II prospective randomized trial to compare SBRT alone with SBRT combined immunotherapy, is currently ongoing. This much needed

**Table 5** Published studies on the use of SBRT in the treatment of central early-stage NSCLC

Study	Definition of central lung tumor	Total No. of patients	Median follow-up, months	Prescribed dose/fractions	BED $\alpha/\beta = 10$ (Gy)	Local control rate	OS
Schanne (11), 2015 (retrospective)	Tumor is within 2 cm of the proximal bronchial tree (the carina, right and left main bronchi, right and left upper lobe bronchi, intermedius bronchus, right middle lobe bronchus, lingular bronchus, and right and left lower lobe bronchi)	90	27.1	24–60 Gy/1–18 f	43–180 Gy/1–18 f	3-year LC rate was 52%	3-year OS rate was 29%
Ma (10), 2017 (retrospective)	<2 cm from the proximal bronchial tree	41	12.0	11 cases, 26–30 Gy/1 f; 31 cases, 50–60 Gy/5 f	93.6–120 Gy/1 f; 100–132 Gy/5 f	1-year LC rates were 100% (26–30 Gy/1 f), 96% (50–60 Gy/5 f)	1-year OS rate was 82% (26–30 Gy/1 f), 87% (50–60 Gy/5 f)
Arnett (8), 2019 (retrospective)	Gross tumor volume within 2 cm of the proximal bronchial tree or PTV adjacent to mediastinal/ pericardial pleura	110	50 (4.7–79.5) for all living patients	48–60 Gy/3–5 f	100–132	5-year LC rates were 89%	5-year OS rate was 82%
Bezjak (6), 2019 (prospective)	Within or touching the zone 2 cm around the proximal bronchial tree (PBT) or immediately adjacent to the mediastinal or pericardial pleura	100	37.9	50–60 Gy/5 f	100–132	3-year LC rates were 86.7% (11.5 Gy/5 cohort) and 84.9% (12.0 Gy/5 cohort)	3-year OS rates were 67.9% (11.5 Gy/5 cohort) and 72.7% (12.0 Gy/5 cohort)
Rulach (9), 2020 (retrospective)	Within 2 cm of the trachea, bronchi or proximal bronchial tree, or the PTV abuts the mediastinal pleura/ pericardium	55	25.2 [1–70]	50 Gy/5 f	100	1-year LC rate was 77.6%	1-year OS rate was 67.6%
Duijim (7), 2020 (retrospective)	Within 2 cm of the esophagus, trachea, mainstem, intermediate, upper, middle or lower lobe bronchus	188 (primary NSCLC 154, metastatic tumor 34)	UA	60 Gy/8–12 f	90–105	2-year LC rates were 88% (NSCLC group) and 87% (metastatic group)	3-year OS rate was 42%

Gy/5 f, Gy in five fractions; NSCLC, non-small cell lung cancer; UA, unavailable; PTV, planning target volume.

study may help to emphasize the importance of treating visible and occult lesions, and further enhance the prognosis of early-stage NSCLC patients who receive SBRT (19).

No grade 5 toxicities that were reported with SBRT in other studies on central tumors, including fatal haemoptysis, bronchial strictures and fistulae, and hemorrhage esophageal perforation, were observed in current study. Tumors that are more centrally located could possibly lead to a greater dose to the aforementioned critical structures, which may result in a higher risk of toxicity. The Nordic HILUS trial, the results of which have yet to be fully reported, treated patients with tumors <1 cm from the PBT with 56 Gy/8 fractions. There is currently no survival data available from the study, but the incidence of grade 3–5 toxicity was 28%, including 9.5% of all patients who experienced fatal events (primarily hemoptysis) (20). The majority of tumors irradiated in our study were located  $\geq 1.0$  cm away from the PBT, which may account for the low incidence of toxicity observed in our cohort. Cases with tumors located closer to the PBT are likely to see a greater dose to the fatal structures, resulting in a higher risk of toxicity. In addition, a moderate BED dose scheme (BED10 100–119 Gy) was applied in our study. It has been reported in RTOG 0813 that a moderate radiation dose delivered (10–11.5 Gy/f, 5 f) to the PTV is associated with a lower risk of toxicity compared with higher radiation dose (6). Notably, the possibility for high-grade late toxicity should not be overlooked. Our study reported two deaths from respiratory failure that were possibly related to treatment, which occurred at 16.1 and 12.2 months after SBRT. Despite the difficulty in determining whether the deaths of these two patients were caused by SBRT toxicity, it is possible for high-risk patients who receive SBRT to encounter severe toxicity >12 months after treatment. Recently, Duijm *et al.* who treated 188 central lung tumors with SBRT (60 Gy/8 fractions or 60 Gy/12 fractions), reported that 4 patients suffered late severe toxicity, including 2 deaths related to the treatment (1 patient died of a tracheoesophageal fistula 10 months after irradiation, and the other patient's death was attributed to septic mediastinitis caused by a large esophagus perforation at 14 months after SBRT), while no acute severe esophageal toxicity was reported (7). Therefore, a moderate dose of BED10 100 might not cause severe acute toxicity. Caution should be taken when interpreting long-term toxicity. In light of these data and published results, a long-term follow-up (>2 years) and routine toxicity monitoring after SBRT are necessary.

Our study had some limitations that need to be discussed.

First, the results are based on retrospective data and the conditions of the patients were more complicated compared with prospective studies, which unavoidably enhanced the heterogeneity of our sample. Toxicity scoring in a retrospective study is also extremely challenging. Due to the limited data available on hospitalization at outside institutions, as well as the inclusion of patients at high risk or in poor health generally, these toxicities might not have been caused by radiation therapy. Secondly, the case samples were small and the results need to be demonstrated by a large sample prospective study. Thirdly, the enrolled patients were older, and advanced age can contribute to a poor prognosis. In the present study, the patients had a median age of 75 years, and 74.2% of them had a Charlson comorbidity index score  $\geq 5$ . This may explain 3 (37.5%) of the 8 deaths from other diseases in current study. Besides, using the RECIST criteria to assess LC in this research carries obvious limitations in the post-radiotherapy setting (21).

In conclusion, for SBRT, central early-stage NSCLC tumors are not a “no fly zone”. The current study validated that SBRT with a BED10 100–119 Gy in 4–10 fractions is effective and tolerable for patients central early-stage NSCLC. Further verification of our results in large-scale studies is necessary.

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## Footnote

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*Ethical Statement:* The authors are accountable for all

aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved. The study protocol was approved by the human research ethics committee of our institution (approval ID: IRB-2020-140 (Ke)), and signed informed consent was obtained from all patients. The study was conducted in accordance with the Declaration of Helsinki (as revised in 2013).

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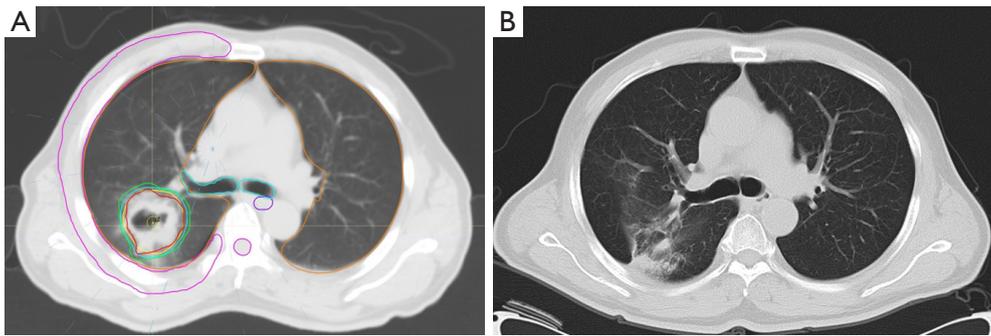
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**Figure S1** A representation of stereotactic body radiation therapy (SBRT) for central tumors. A 62-year-old man with stage IIA non-small-cell lung cancer. (A) Axial computed tomography image of pre-SBRT. The primary tumor was 5.0 cm in the greatest dimension as measured on a simulation computed tomography scan. The patient was treated with SBRT with 10 Gy  $\times$  5 fractions. (B) 7 months after SBRT. The tumor was 1.4 cm in the greatest dimension as measured on a computed tomography scan.