



Proteomic analysis of plasma exosomes in patients with non-small cell lung cancer

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Background: Currently, the prognosis of patients with non-small cell lung cancer (NSCLC) remains unsatisfactory. This current study evaluated the relationship between histology of NSCLC and protein expression of exosomes in the plasma from NSCLC patients, and furthermore investigate the impact of the exosome profile on the tumor, node, metastasis (TNM) classification.

Methods: Plasma samples were collected from 26 NSCLC patients before surgery. The exosomes were extracted from the plasma and liquid chromatography-mass spectrometry (LC/MS) was used to evaluate the expression of the proteins in the exosomes. Gene Ontology (GO) and Kyoto Encyclopedia of Genes and Genomes (KEGG) enrichment analyses were performed using the Cytoscape 3.8.2 software. Multivariate logistic regression and receiver operating characteristic (ROC) curves were used to identify proteins which could effectively distinguish between lung adenocarcinoma and lung squamous cell carcinoma. The relationship between protein expression and the TNM stage was calculated using Spearman rank correlation.

Results: The expression levels of ZSWIM9, FYB1, SERPINF1, C1orf68, MASP2, and IGHV3-72 were higher in patients with lung adenocarcinoma compared to patients with lung squamous cell carcinoma. MFGE8 was associated with the occurrence of squamous cell carcinoma. CORO1A was positively correlated with the TNM stage of the patients, and COL4A2 was negatively correlated with TNM stage. GO and KEGG analyses revealed that cholesterol metabolism was important in NSCLC development.

Conclusions: Lung adenocarcinoma may be distinguished from squamous cell carcinoma by the molecular profile of exosomes in the plasma samples. And, proteomics analysis suggested that cholesterol metabolism may play an important role of cancer progress in NSCLC.

Keywords: Non-small cell lung cancer (NSCLC); exosome; differently expressed proteins; proteomics; Gene Ontology (GO)

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Introduction

Lung cancer has been the most commonly diagnosed cancer for the last several decades (1). About 15% are small cell lung cancer and 85% of lung cancers are non-small cell lung cancer (NSCLC). The following NSCLC subtypes can be distinguished histologically: large cell carcinoma (3%), squamous cell carcinoma (20%) and adenocarcinoma and (38.5% of all lung cancers) (2). The treatment of NSCLC depends on stage and the clinical performance of the patients. Surgery is usually recommended for early-stage patients. Although significant progress has been made in the diagnosis and treatment of lung cancer, patient prognosis remains unsatisfactory (3,4) and the overall survival rate of lung cancer patients being disappointingly low. There may be several factors contributing to this low overall survival rate, such as the lack of effective screening strategies to detect early tumors, the obvious drug resistance of lung cancer cells, and the insufficient understanding of the multifactor cell network activated or inhibited during the pathogenesis of cancer (5). Furthermore, the identification of a reliable biomarker to predict tumor response to treatments and patient prognosis remains elusive (6). Over the past 20 years, various proteomics approaches have been developed, including mass spectrometry (MS) (7). Used with the high throughput technique and powerful statistical software, a large number of peptides and proteins are able to be identified and quantified with high efficiency. MS-based proteomics has gained much traction in the last decade (8), with the potential to identify relevant biomarkers that can be accurately quantified in multiplex assays (9). Indeed, proteomics may contribute significantly to the understanding of the mechanisms involved in NSCLC progression and response to treatment (10).

Exosomes are small, double-layered lipid membrane vesicles, initially formed by the endocytic process. They are nanoscale vesicles, ranging from 30–100 nm in diameter, that contain bioactive molecules such as proteins, DNA, mRNAs, and micro RNAs (miRNAs) (11). Most cell types secrete exosomes, in particular tumor cells. Tumor-derived exosomes play an important role in the communication between tumor cells and their microenvironment, contributing a favorable environment for tumor progression (12). The previous studies indicated that exosomes can promote tumorigenesis, invasion, migration through the transfer of the mRNAs, miRNAs and proteins in NSCLC (13-15). Besides, exosomes can mediate the microenvironment communication, modulating the immune response and aiding the metastasis, which will

influence the tumorigenesis (15). Tumor-derived exosomes also promote the migration through influencing the integrity of vascular barriers (16). The exosomes from different cells have different functions. Mesenchymal stem cells derived exosomes induced the epithelial-mesenchymal transition and the infiltration of inflammatory cells in cancer, which can promote the growth of the NSCLC (17). M2 macrophage derived exosomes can enhance the aerobic glycolysis and inhibit the apoptosis of the tumor (18). It is noteworthy that exosomes have also been isolated in most biological fluids, including plasma, pleural effusions and bronchial lavage (19). Moreover, exosomes provide a protective vesicle for transporting small RNAs against degradation by RNases (20). These special features make exosomes an ideal specimen for liquid biopsy (21,22). Sandfeld-Paulsen *et al.* (23) explored the potential of exosomes as diagnostic biomarkers in NSCLC adenocarcinoma and squamous cell carcinoma and found that the markers CD151, CD171, and tetraspanin 8 were highly differentially expressed in patients with cancers of all histological subtypes compared to patients without cancer. The purpose of the study is to examine the expression of exosome proteins in NSCLC adenocarcinoma and squamous cell carcinoma at different stages, identifying potential prognostic biomarkers for clinical diagnosis. We present the following article in accordance with the MDAR reporting checklist (available at <https://tclr.amegroups.com/article/view/10.21037/tclr-22-467/rc>).

Methods

Patients

This study was a prospective study without interventions to perform the comprehensive exosome protein profile of NSCLC. Between December 2019 to April 2021, all patients with “pulmonary mass who underwent “lobectomy” at the Shanghai Pulmonary Hospital were candidates for this study. Lung tissue samples were collected within 30 minutes after surgical resection and quick-frozen in liquid nitrogen, then transferred to –80 °C within 30 minutes. Clinical data (including sex, age at diagnosis, smoking history), was retrieved from an electronic medical database. In addition, tumor volume and pathological type were recorded, and histopathology of all cases was confirmed by at least 2 pathologists based on high-resolution images of hematoxylin and eosin (H&E) sections. Whole blood samples were collected preoperatively for subsequent multiple proteomics analyses. After excluding patients with

pulmonary tumors other than NSCLC, or patients with no informed consent, plasma exosomes were extracted from whole blood sample. The Ethics Committee of the Shanghai Pulmonary Hospital (No. K20-197Y) approved the study protocol, and all patients provided written informed consent. The institutional review boards at Shanghai Pulmonary Hospital reviewed all protocols. The study was conducted in accordance with the Declaration of Helsinki (as revised in 2013). All consent documentation adhered to the Clinical Proteomic Tumor Analysis Consortium (CPTAC) guidelines.

Extraction of plasma exosomes

Blood samples were extracted from patients using blood collection tubes with sodium citrate anticoagulant and centrifuged at 2,500 g for 10 minutes at room temperature. Plasma was transferred to a new tube and was centrifuged at 15,000 g for 10 minutes at 4 °C in order to remove the platelets. The exosomes were harvested after a final centrifugation of 100,000 g for 2 hours and resuspended in 100 µL phosphate buffered saline (PBS).

Extraction of protein

Exosomes were lysed with moderate lysis buffer containing protease inhibitors, using an ultrasonic processor and placed on ice for 30 minutes. The lysate was centrifuged at 12,000 g for 20 minutes at 4 °C and the supernatant was collected. The BCA assay (Yeason, Shanghai, China) was used to detect the protein concentration.

Trypsin digestion and peptide desalination

The extracted protein samples underwent reductive alkylation and digestion with trypsin (diluted with ammonium bicarbonate) at 37 °C overnight. On the following day, the peptides were obtained after centrifugation and dried by centrifugal concentration.

The peptides were desalted using a Zip tip C18 column (75 µm × 20 cm, particle size 1.9 µm) at a flow rate of 200 nl/min and analyzed by Orbitrap Fusion Lumos MS (Thermo Fisher Scientific) interfaced with Easy-nLC 1200 nanoflow liquid chromatography system.

LC-MS/MS for proteomics analyses

Mass spectra were obtained in a data-dependent manner and full scans (MS1) were obtained at a resolution of 60,000

and a mass range of 375 to 1,600 m/z. The ten most intense precursor ions were chosen for MS/MS and fragmented using higher-energy collisional dissociation (HCD) at a collision energy of 30. A label-free quantification tool, which is implemented in the MaxQuant software, was used to acquire normalized label-free quantification intensity of proteins.

The Uniprot_Human database was used to search the obtained MS data in the MaxQuant (v1.5.8.3) environment. The search parameters were set as follows: trypsin as the protease; oxidation (M), acetyl (protein N-term), and carbamidomethyl I as modifications; up to 2 missed cleavages; minimal peptide length set as 7; mass tolerance for MS2 was 20 ppm. A stringent false discovery rate (FDR) <0.01 was used to filter PSM and a FDR <0.05 was used to filter protein identifications.

Functional enrichment analysis of differently expressed proteins

To further understanding the functional annotation of the differently expressed proteins, GO analysis and KEGG analysis of the differentially expressed miRNAs was performed using the plug-in of ClueGO Cytoscape 3.8.2 software. An adjusted false discovery rate (FDR) P<0.05 was considered statistically significant. The top 10 significantly GO terms in biological processes (BPs); molecular functions (MFs); cellular components (CCs) and the top 10 KEGG pathways were selected.

Statistical analysis

The SPSS software (Statistical Package for the Social Sciences, Chicago, IL, USA) version 26.0, RStudio V1.2.1335 (RStudio Inc., Boston, USA) and GraphPad Prism (San Diego, CA, USA) version 9.0 was used to conduct statistical analyses. We used the Chi-square tests to analyze categorical data and the Student's *t*-test or Mann-Whitney U test was used to analyze continuous data. Continuous variables are shown as mean ± standard deviation (SD) or medians (interquartile range) and categorical variables are showed as percentage numbers (%). P<0.05 was considered statistically significant.

Results

The baseline characteristics of patients with NSCLC

A total of 230 patients had lobectomy for pulmonary tumor

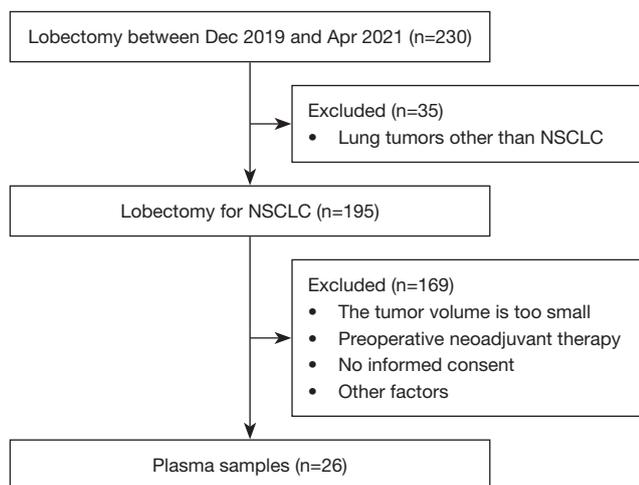


Figure 1 The flowchart of the study. NSCLC, non-small cell lung cancer.

between December 2019 and April 2021 at the Shanghai Pulmonary Hospital (Figure 1). Among of them, 195 NSCLC tumors were diagnosed pathologically. Finally, the exosome protein profile was performed for 26 plasma samples from NSCLC patients (including 14 males and 12 females, aged 47–79 years) which were obtained before surgery from patients after excluding patients with no informed consent or too small tumor volume, preoperative neoadjuvant therapy. Table 1 summarizes the baseline characteristics of the patients. A total of 8 patients presented with lung squamous cell carcinoma and 18 presented with lung adenocarcinoma. Genetic mutations were present in 12 patients, including 3 patients with epidermal growth factor receptor (EGFR) exon 19 deletion (E19del) mutations, 7 patients with an amino acid substitution at codon 858 in exon 21 (L858R) mutations, and 2 patients with echinoderm microtubule-associated protein-like 4 gene-ALK (EML4-ALK) mutations.

The characteristics of the differentially expressed proteins derived from plasma in NSCLC patients

The top 20 most abundantly expressed proteins in the plasma-derived exosomes of NSCLC patients is shown in Table 2. The most abundantly expressed proteins in plasma-derived exosomes in NSCLC patients are apolipoprotein B (APOB), immunoglobulin heavy constant mu (IGHM), and albumin (ALB).

Table 1 The basic characteristics of patients with non-small cell lung cancer included in the study

Characteristics	NSCLC patients (N=26)
Age (years), mean ± SD	64.85±8.84
Sex, n (%)	
Male	14 (53.85)
Female	12 (46.15)
Lesion site	
Left lung	8
Right lung	18
Pathological pattern	
Squamous cell carcinoma	8
Adenocarcinoma	18
Pathological stage	
I/II/III/IV	8/13/5/0
Lymph node metastasis, n (%)	13 (50.0)
Genetic mutations, n (%)	12 (46.15)
E19del mutations	3 (11.54)
L8585R mutations	7 (26.92)
EML4-ALK mutations	2 (7.69)
Smoking history, n (%)	
Never	21 (80.77)
Former	0
Current smoking status	5 (19.23)

NSCLC, non-small cell lung cancer; E19del mutations, epidermal growth factor receptor (EGFR) exon 19 deletion mutations; L858R mutations, an amino acid substitution at codon 858 in exon 21 mutations; EML4-ALK mutations, echinoderm microtubule-associated protein-like 4 (EML4) and anaplastic lymphoma kinase (ALK) mutations.

The characteristics of the differentially expressed proteins derived from plasma in lung squamous cell carcinoma and lung adenocarcinoma

The top 20 most abundantly expressed proteins derived from the plasma-derived exosomes of patients with lung squamous cell carcinoma and patients with lung adenocarcinoma were almost identical, with the exception of VWF in lung squamous cell carcinoma and CD5L in lung adenocarcinoma (Table 2).

Table 2 The top 20 proteins expressed in the plasma-derived exosomes of patients with lung squamous cell carcinoma and adenocarcinoma

Total	Lung squamous cell carcinoma	Lung adenocarcinoma
APOB	APOB	APOB
IGHM	IGHM	IGHM
ALB	ALB	ALB
APOE	APOC3	APOE
APOC3	APOE	KRT10
KRT10	LPA	APOC3
KRT1	APOC2	KRT1
APOA1	KRT1	LPA
APOC2	KRT10	FGA
FGA	APOA1	APOA1
C4BPA	C4BPA	C4BPA
FGB	HP	FGB
HP	FGA	APOC2
IGLC2	FGB	IGLC2
IGKC	KRT2	IGKC
IGHG2	KRT9	HP
FGG	VWF	IGHG2
KRT2	IGLC2	FGG
KRT9	FGG	CD5L
CYP2R1	IGKC	KRT9

APOB, apolipoprotein B-100; IGHM, immunoglobulin heavy constant mu; ALB, albumin; APOE, apolipoprotein E; APOC3, apolipoprotein C-III; KRT10, type I cytoskeletal 10; KRT1, type II cytoskeletal 1; APOA1, apolipoprotein A-I; APOC2, apolipoprotein C-II; FGA, fibrinogen alpha chain; C4BPA, c4b-binding protein alpha chain; FGB, fibrinogen beta chain; HP, haptoglobin; IGLC2, immunoglobulin lambda constant 2; IGKC, immunoglobulin kappa constant; IGHG2, immunoglobulin heavy constant gamma 2; FGG, fibrinogen gamma chain; KRT2, type II cytoskeletal 2 epidermal; KRT9, type I cytoskeletal 9; vitamin D 25-hydroxylase; VWF, von Willebrand factor; CD5L, CD5 antigen-like.

The overlapping plasma-derived exosome proteins in our study and a published dataset

Among the top 20 abundant proteins in lung squamous cell carcinoma and lung adenocarcinoma, there were 7

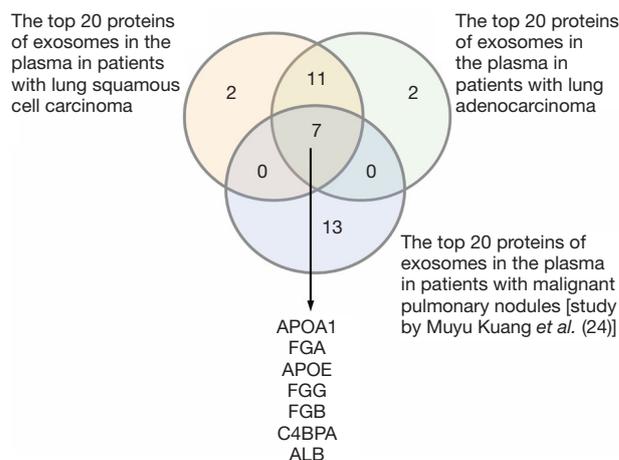


Figure 2 The overlapping proteins among the top 20 proteins and the dataset by Kuang *et al.* (24). APOA1, apolipoprotein A-I; FGA, fibrinogen alpha chain; APOE, apolipoprotein E; FGG, fibrinogen gamma chain; FGB, fibrinogen beta chain; C4BPA, c4b-binding protein alpha chain; ALB, albumin.

overlapping proteins between our study and a similar study by Kuang *et al.* (24) (Figure 2). These 7 proteins were apolipoprotein A-I (APOA1), fibrinogen alpha chain (FGA), apolipoprotein E (APOE), fibrinogen gamma chain (FGG), fibrinogen beta chain (FGB), C4b-binding protein alpha chain (C4BPA), and albumin (ALB) (24).

GO and KEGG analyses

GO and KEGG analyses were performed on all 26 NSCLC patients with squamous carcinoma and adenocarcinoma, in order to understand the function of the differentially expressed genes. For the 26 NSCLC patients, GO analysis showed that the top 20 most abundant plasma-derived proteins were mainly associated with (Figure 3A): (I) the BPs chylomicron remodeling, chylomicron assembly, and triglyceride-rich lipoprotein particle remodeling; (II) the CCs intermediate density lipoprotein particle, chylomicron, and very low density lipoprotein particles; and (III) molecular functions involved in high-density lipoprotein particle receptor binding, phosphatidylcholine-sterol O-acyltransferase activator activity, and structural constituents of skin epidermis. As shown in Figure 3B, KEGG analysis revealed that the top 20 most abundant plasma-derived proteins were mainly enriched in cholesterol metabolism, vitamin digestion and absorption, and fat digestion and absorption.

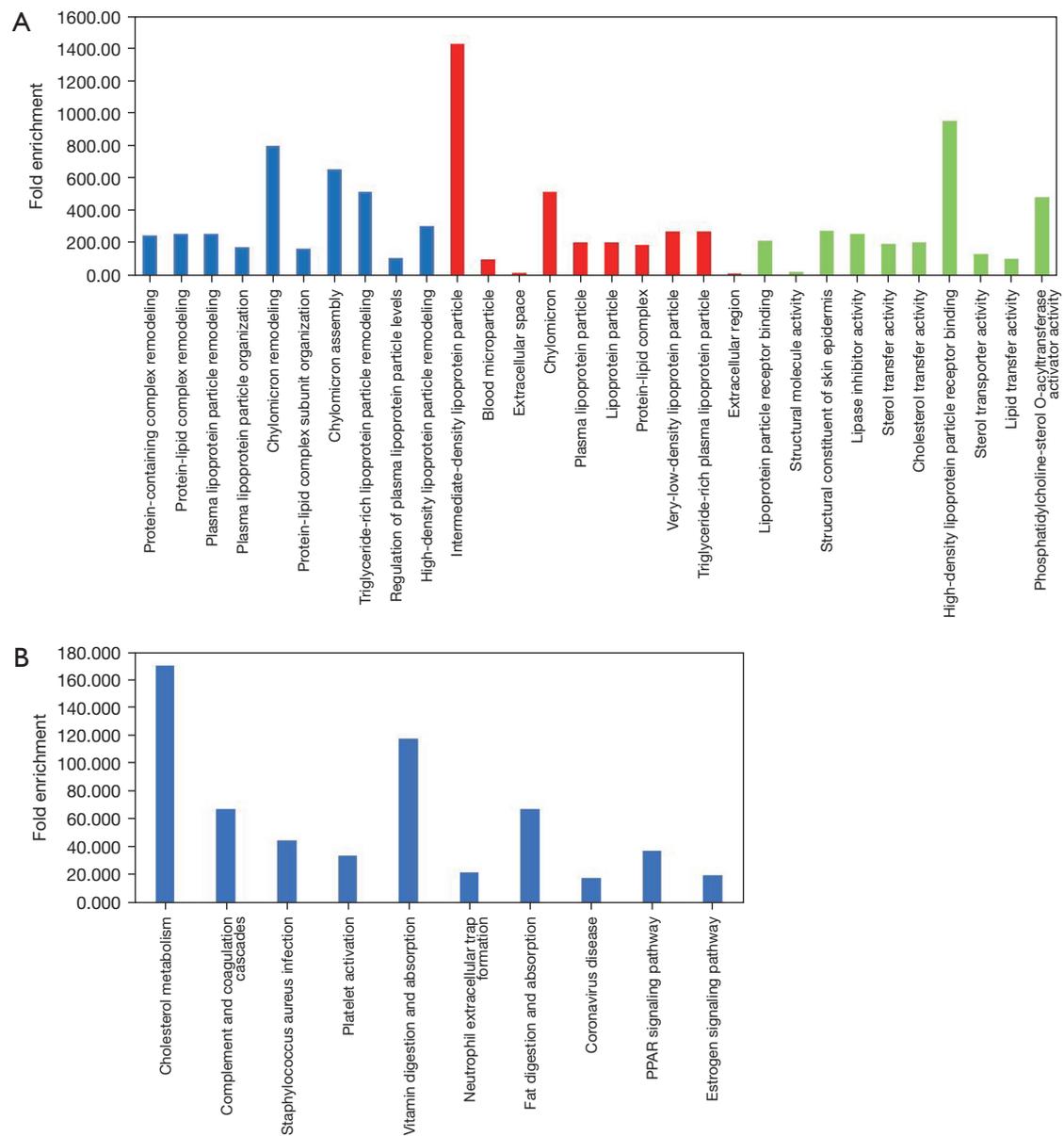


Figure 3 GO and KEGG analysis of the top 20 proteins in plasma-derived exosomes in NSCLC patients. (A) GO analysis of the top 20 expressed proteins in plasma-derived exosomes in NSCLC patients. (B) KEGG analysis of the top 20 expressed proteins in plasma-derived exosomes in NSCLC patients. GO, Gene Ontology; KEGG, Kyoto Encyclopedia of Genes and Genomes; NSCLC, non-small cell lung cancer.

Figures 4,5 highlight the enriched GO and KEGG pathways for squamous-cell carcinoma and adenocarcinoma. The top 5 enriched GO terms of the differentially expressed proteins in lung squamous carcinoma and lung adenocarcinoma were highly similar. Among them, the most enriched pathway was the cholesterol metabolism pathway.

As shown in Figure 6A, the 7 overlapping exosome proteins in our study and the report by Kuang *et al.* (24). were associated with the BPs including protein activation cascade, blood coagulation, fibrin clot formation, platelet activation; the CCs fibrinogen complex, golgi cisterna membrane, and golgi cisterna; and the MFs

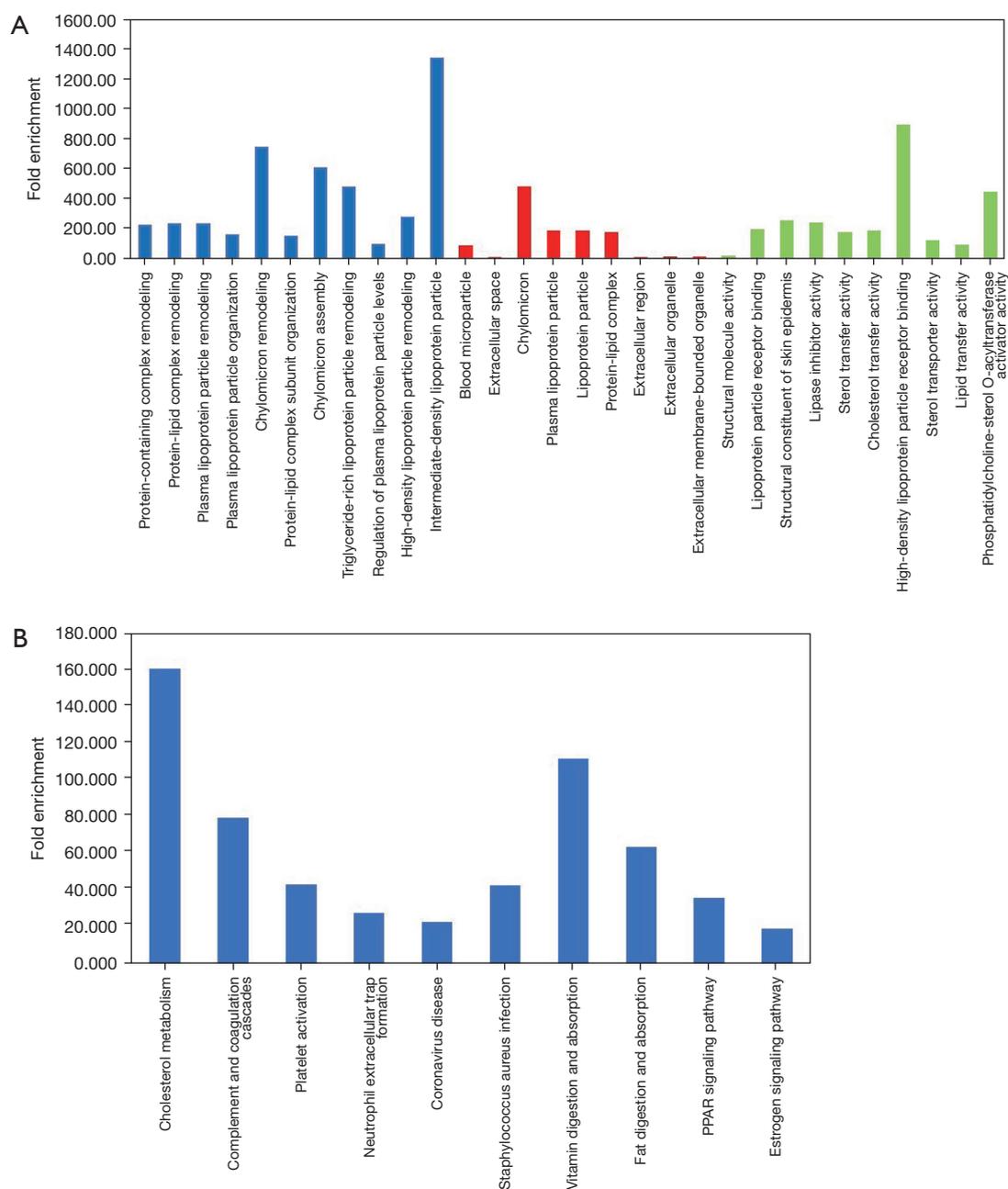


Figure 4 GO and KEGG analysis of the top 20 proteins in plasma-derived exosomes in lung squamous cell carcinoma patients. (A) GO analysis of the top 20 expressed proteins in plasma-derived exosomes in lung squamous cell carcinoma patients. (B) KEGG analysis of the top 20 expressed proteins in plasma-derived exosomes in lung squamous cell carcinoma patients. GO, Gene Ontology; KEGG, Kyoto Encyclopedia of Genes and Genomes.

dimethylargininase activity, alpha-(1->3)-fucosyltransferase activity, and amino acid binding. KEGG analysis showed that these proteins were enriched in complement and coagulation cascades, vitamin digestion and absorption, and cholesterol metabolism (Figure 6B).

Differentially expressed proteins in plasma exosomes between lung squamous cell carcinoma and lung adenocarcinoma

The expression levels of APOC3, MFGE8, EMILIN1,

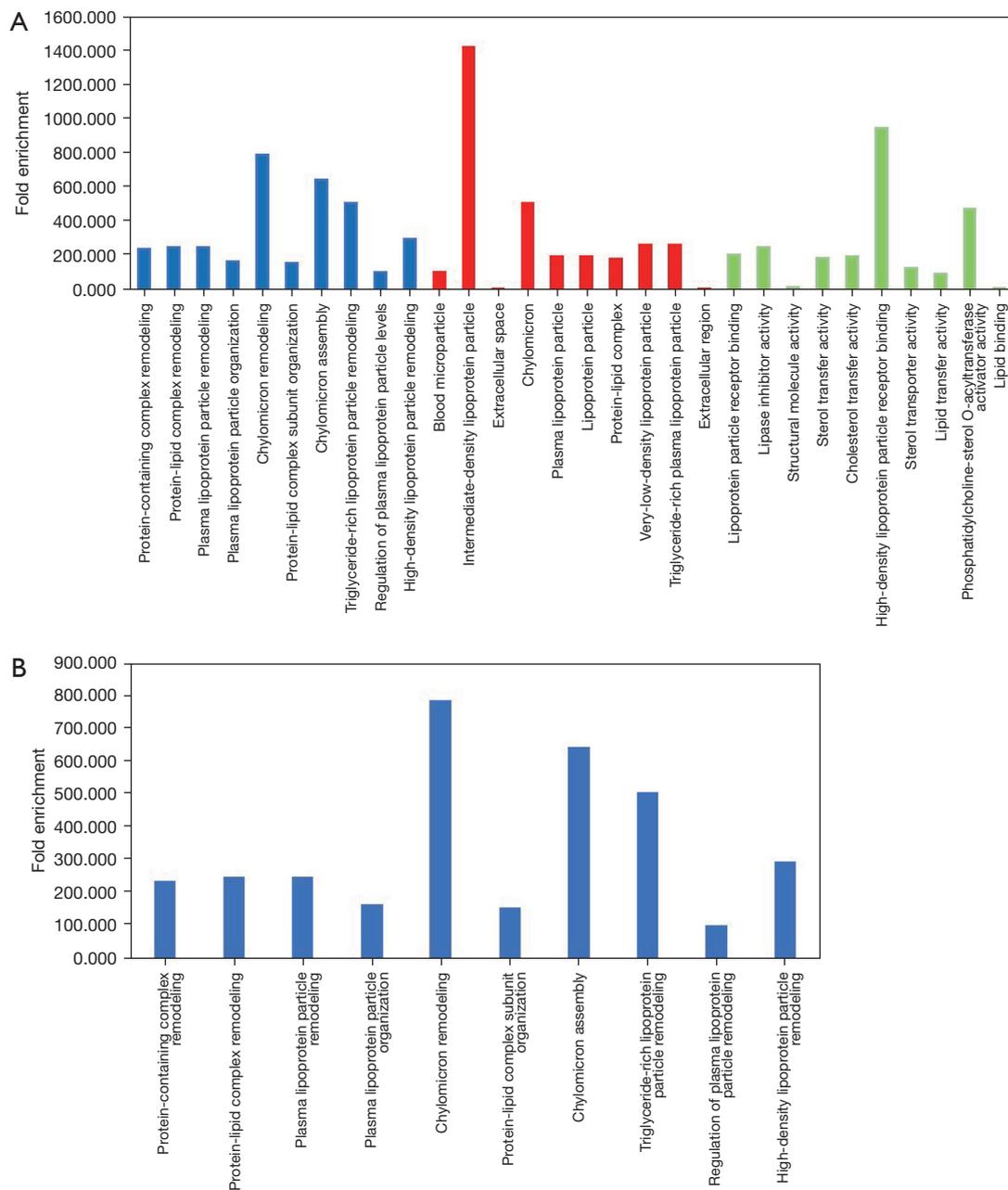


Figure 5 GO and KEGG analysis of the top 20 proteins in plasma-derived exosomes in lung adenocarcinoma patients. (A) GO analysis of the top 20 expressed proteins in plasma-derived exosomes in lung adenocarcinoma patients. (B) KEGG analysis of the top 20 expressed proteins in plasma-derived exosomes in lung adenocarcinoma patients. GO, Gene Ontology; KEGG, Kyoto Encyclopedia of Genes and Genomes.

S100A8, and C1QA were significantly higher in patients with lung squamous cell carcinoma compared to patients with lung adenocarcinoma ($P < 0.05$). Meanwhile, the expression of ZSWIM9, FYB1, SERPINF1, C1orf68, MASP2, and IGHV3-72 was significantly higher

in patients with lung adenocarcinoma compared to patients with lung squamous cell carcinoma ($P < 0.05$; *Figure 7*).

Among the 26 patients, all female patients presented with lung adenocarcinoma, and about half of all male patients

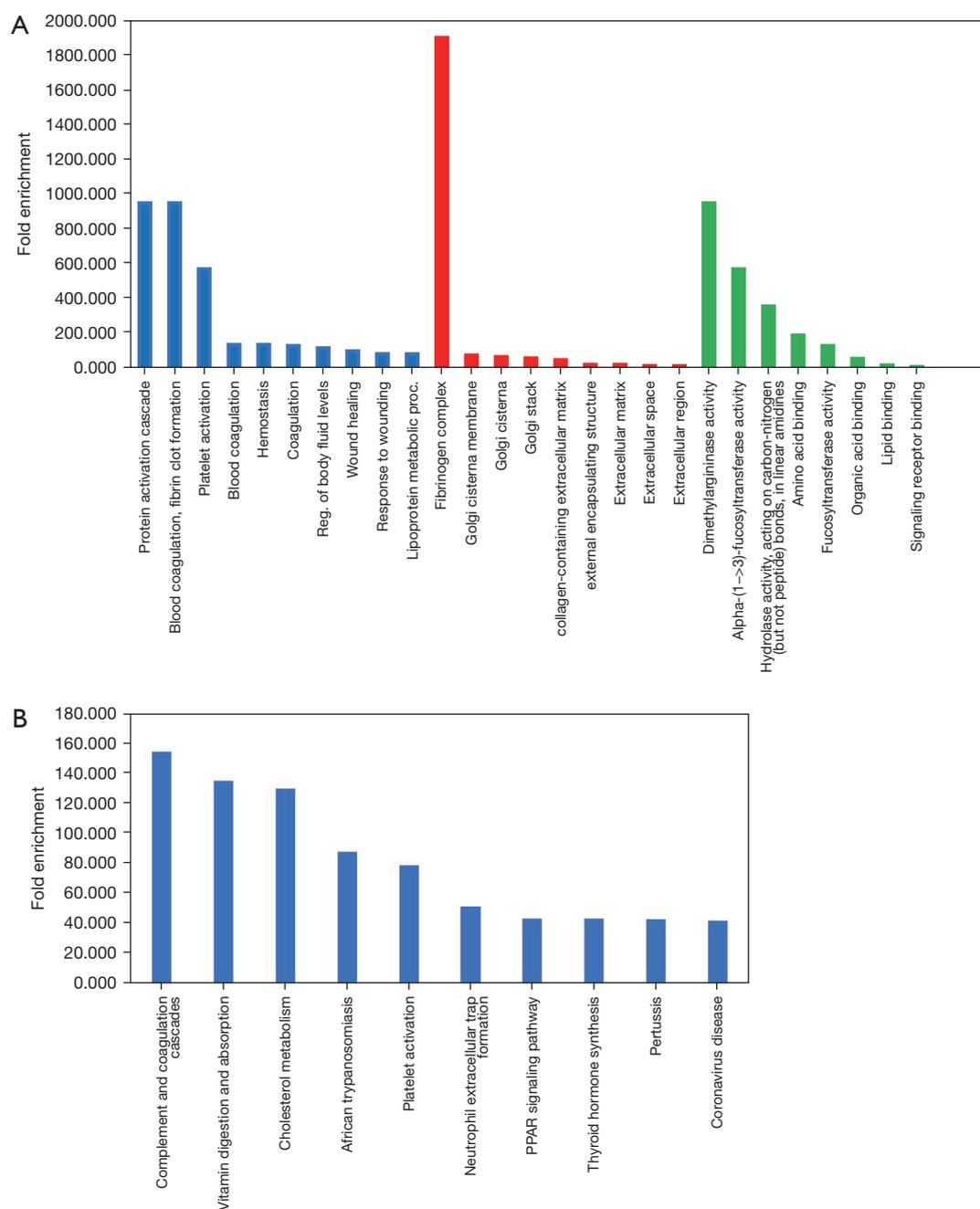


Figure 6 GO and KEGG analysis of the overlapping exosome proteins. (A) GO analysis of the 7 overlapping exosome proteins between this study and the report by Kuang *et al.* (B) KEGG analysis of the 7 overlapping exosome proteins. GO, Gene Ontology; KEGG, Kyoto Encyclopedia of Genes and Genomes.

presented with lung adenocarcinoma. For male patients, the expression of APOC3 and MFGE8 was significantly different between lung squamous cell carcinoma and lung adenocarcinoma patients.

Univariate and multivariate logistic regression in classifying samples

Univariate and multivariate logistic regression models were applied to identify the protein selectivity in classifying lung

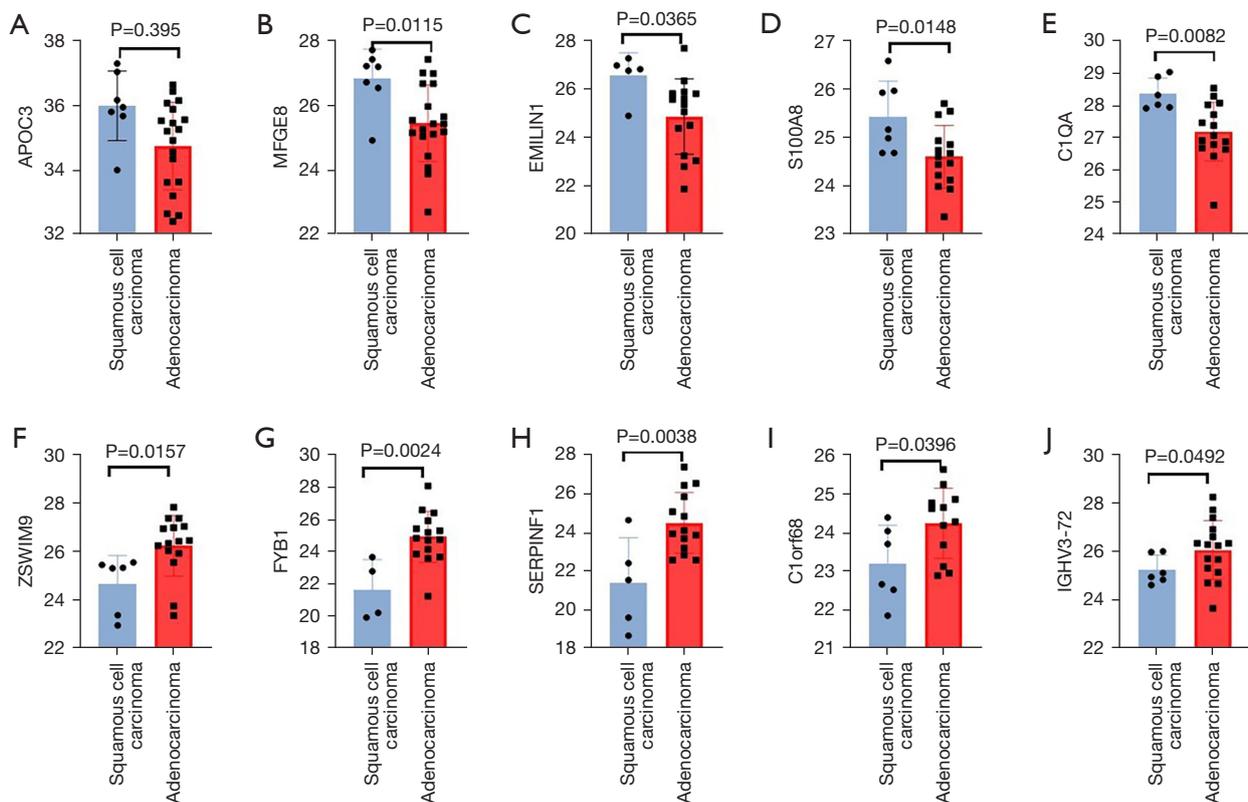


Figure 7 The differentially expressed proteins in the plasma-derived exosomes between lung squamous cell carcinoma and lung adenocarcinoma.

squamous cell carcinoma and adenocarcinoma (*Figure 8*). Univariate analyses demonstrated that MFGE8 and S100A8 were associated with the occurrence of lung squamous cell carcinoma. Multivariate analyses revealed that MFGE8 was associated with the occurrence of squamous cell carcinoma ($P < 0.1$).

ROC curve

The ROC curve presents the sensitivity and specificity of MFGE8 levels in plasma-derived exosomes to screen lung squamous cell carcinoma and lung adenocarcinoma. The area under the curve (AUC) value was 0.812 and the cut-off value obtained from the ROC curve was 26.

Differentially expressed proteins in plasma exosomes from lung cancer patients with different pathological stage

There were 12 differentially expressed proteins in plasma exosomes derived from lung cancer patients with different

pathological stages (*Figure 9A-9L*). Among them, IGHV5-51, IGHV3-74, and HBB were highly expressed in plasma exosomes from lung cancer patients in TNM stage II compared to patients in TNM stage I. Furthermore, the expression of CORO1A was higher in plasma exosomes from lung cancer patients in TNM stage III compared to patients in TNM stage I. In addition, F11, RBP4, and CORO1A expression was higher in plasma exosomes from TNM stage III patients compared to TNM stage II patients.

Conversely, RBP4 and APCS expression was relatively decreased in plasma exosomes from TNM stage II patients compared to stage I patients. Stage III patients had lower IGHV5-51, COL4A2, IGHV3-23, and SH3BGRL3 expression compared to stage I patients. IGHA1, IGHV6-1, IGHV5-51, IGHV3-23, and SH3BGRL3 showed lower expression in TNM stage III patients compared to stage II patients.

As shown in *Figure 9M*, CORO1A was positively correlated with the TNM stage of patients, while COL4A2 was negatively correlated with the TNM stage of patients.

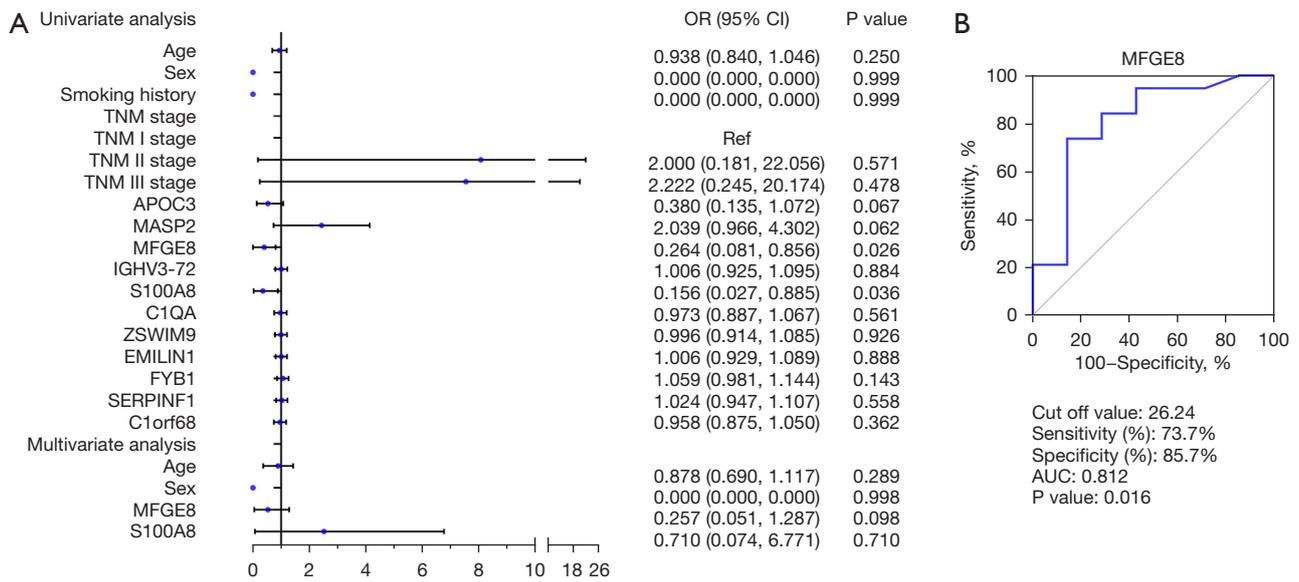


Figure 8 The factors distinguishing lung squamous cell carcinoma from lung adenocarcinoma. (A) Univariate analysis and multivariate logistic regression models. (B) The ROC curve demonstrating the ability of MFGE8 to predict lung squamous cell carcinoma and lung adenocarcinoma. ROC, receiver operating characteristic; OR, odds ratio; CI, confidence interval; AUC, area under the curve; TNM, tumor-node-metastasis.

Discussion

It is crucial to identify biomarkers which may be used to predict overall survival and recurrence (25). Tumor-derived exosomes communicate with their local environment through the proteins, miRNAs, and RNAs they carry and are critical for tumorigenesis, invasion, and migration (13-15). We evaluated the prognostic potential of secreted membrane binding proteins in a prospective cohort of nonselective plasma from NSCLC patients. The top 20 most abundantly expressed proteins in the plasma-derived exosomes were mainly associated with cholesterol metabolism in our study. Lipids have been reported to affect the immunity of patients with lung cancer (26). Furthermore, Ros-Mazurczyk *et al.* (27) suggested that the serum lipid profile might be discriminatory in patients with lung cancer and healthy controls. The multimarker models with the largest area under the curve in the cohort of patients with all lung cancer histological subtypes and in the cohort of patients with adenocarcinoma only covered 10 markers. In squamous cell cancer and SCLC, multimarker models did not exceed CD151 as an individual marker in separating patients with cancer from healthy controls (28). In addition, Niu *et al.* (29) used quantitative proteomics to investigate important pathways and functional categories in patients with metastatic and non-metastatic

NSCLC, and healthy donors. Bioinformatics analysis showed that there was a good distinction between lipopolysaccharide binding proteins (LBPs) in the exosomes of patients with metastatic and non-metastatic NSCLC (29). The lipid synthesis pathway has been shown to be activated in cancer (30) and lipid metabolism in cancer can influence the lipid composition of exosomes derived from cancer cells (31).

Kuang *et al.* (24) also performed proteomics analyses on the plasma exosomes of patients with malignant and benign pulmonary nodules, and identified that the top 20 most abundant proteins in the plasma exosomes of people with malignant pulmonary nodules were AMBP, APOA1, F5, HABP2, FGA, F9, F10, APOE, FGG, LGALS3BP, ACTG1, FGB, C4, PA, IGHG1, ITIH3, ITIH1, C4A, F2, ALB, and ITIH2 (21). Among them, 7 proteins, including APOA1, FGA, APOE, FGG, FGB, C4BPA, and ALB, overlapped with the top 20 abundant proteins in the plasma exosomes of lung adenocarcinoma and lung squamous cell carcinoma patients found in this current study. Among the 7 proteins, APOA1 and APOE were associated with cholesterol metabolism. In a previous study, Borgquist *et al.* (32) suggested that the serum levels of apolipoproteins are associated with an increased risk of lung cancer. FGA, FGG, and FGB are associated with coagulation and it has

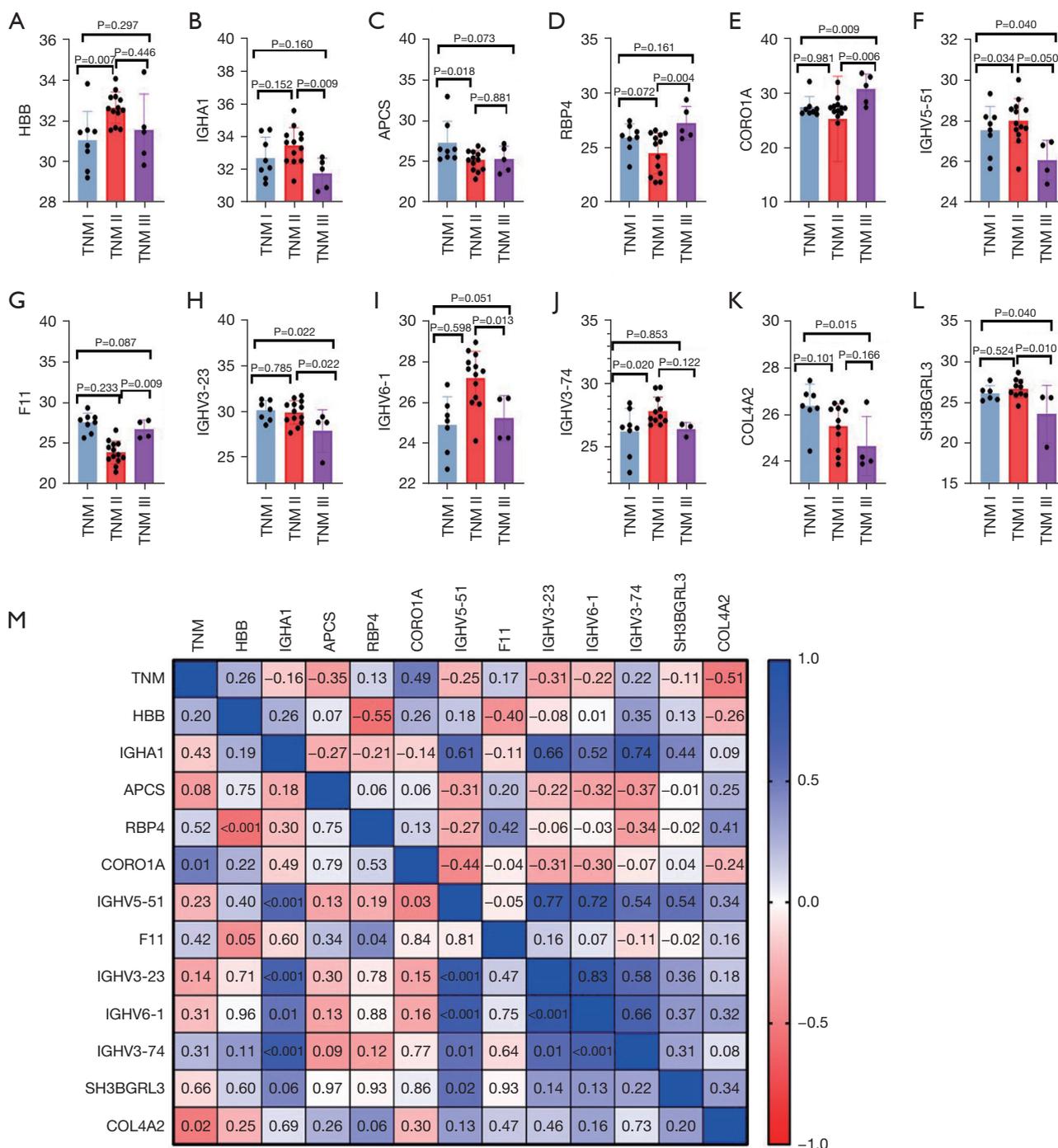


Figure 9 The relationship between differentially expressed proteins and different TNM stages. (A-L) Differentially expressed proteins in plasma exosomes from lung cancer patients with different TNM stages. (M) The correlation between the 12 differentially expressed proteins and TNM stages. TNM, tumor, node, metastasis.

been reported that cholesterol metabolism is important for the progression of cancer (33). Furthermore, it has been suggested that dysfunctional coagulation systems may exist in certain people with cancer (34).

The GO analysis and KEGG pathway analysis in the present study revealed that the target genes identified are significantly enriched in cholesterol metabolism, complement and coagulation cascade pathways. The tumor growth was related to the availability of the cholesterol (35). The reprogramming of the cholesterol metabolism and accumulation of cholesterol is a feature of NSCLC (36). Cell membrane cholesterol is critical for cancer cell proliferation and survival (37). Decreased cell membrane cholesterol inhibits the cell proliferation in NSCLC (38).

Dysregulated cholesterol homeostasis was related to the poor prognosis of the NSCLC (39), as abnormal serum cholesterol levels can cause immune dysfunction and reduced tumor cell proliferation (40,41). The expression of related components of high density lipoproteins (HDL) are upregulated in patients with adenocarcinoma and squamous cell carcinoma, and it is not clear whether these components are common in the plasma of healthy subjects. Previously, Pedersen *et al.* (42) found that several metabolites were altered in the pre-treatment serum samples of small-cell lung cancer patients compared to healthy individuals. Disordered lipid metabolism is featured by altered lipid metabolites (43). We speculate that the expression of HDL-related proteins in the plasma of NSCLCs patients may be higher than in healthy subjects.

As we noticed, the expression of APOC3, MFGE8, EMILIN1, S100A8, and C1QA was higher in patients with lung squamous cell carcinoma compared to patients with lung adenocarcinoma. Apolipoprotein C3 (APOC3) is an anti-aging protein strongly associated with age (44). It is located on the surface of lipoprotein particles and plays an important role in the regulation of triglycerides. A recent research has shown that APOC3 protein expression in plasma increases the risk of cardiovascular disease (45). Paiva *et al.* (46) displayed that APOC3 overexpression may lead to changes in liver inflammation, hepatocyte apoptosis, oxidative stress, and increased liver lipid content. Recently, a study demonstrated that APOC3 may be associated with the development of lung cancer. Wang *et al.* (47) suggested that APOC3 may be a prognostic biomarker for hepatocellular carcinoma (HCC). Milk fat globule-epidermal growth factor 8 (MFGE8) is a lipophilic glycoprotein that is widely involved in cell interactions and plays an important role in inflammatory injury, fibrosis,

tumor development, and other diseases (48). Tian *et al.* (49) highlighted that MFGE8, as a COX-2-related gene, is involved in the regulation of tumor lung colonization in triple negative breast cancer (TNBC), and that silencing MFGE8 expression in TNBC cells significantly restored sensitivity to selective inhibitors of COX-2 both *in vitro* and *in vivo*. In lung cancer, Luo *et al.* (50) demonstrated that MFGE8, as a tumor antigen, may contribute to enhancing the T cell response. In this current study, the expression levels of MFGE8 were significantly higher in patients with lung squamous cell carcinoma compared to patients with lung adenocarcinoma and the difference at gene level might provide a deeper understanding in the mechanism. The EMILIN protein family the family of extracellular matrix glycoproteins that are widely distributed in connective tissues and play an important role in maintaining vascular, lymphatic, and skin homeostasis. A study showed that the EMILIN family can induce tumor cell apoptosis by mimicking classical extracellular apoptosis pathways, and may be involved in regulating tumor angiogenesis by interacting with other extracellular matrix components or cell surface molecules (51). A Gene expression study in human NSCLC revealed that increased expression of EMILIN1 was associated with low proliferation of tumor cells (52). S100A8 belongs to the S100 protein family which is closely related to the regulation of intracellular Ca^{2+} , cell proliferation, differentiation, movement, and apoptosis, and other cell functions (53). In the process of studying the effect of S100A8 on lung cancer, Kinoshita *et al.* (54) found that S100A8 could induce the activation of myeloperoxidase (MPO), and a novel monoclonal antibody against S100A8 effectively prevented lung cancer metastasis. Furthermore, the sensitivity of drug-resistant NSCLC cells to gefitinib may be related to the regulation of S100A8 (55). Currently, there is a paucity of data regarding differences in plasma S100A8 expression between lung adenocarcinoma and lung squamous cell carcinoma. Complement C1q is an activator of the classical pathway. However, it is now recognized that C1q can perform functions unrelated to complement activation (56). Bulla *et al.* found that compared with wild-type (WT) or C3- or C5-deficient mice, C1q-deficient (C1qa^{-/-}) mice with a syngeneic B16 melanoma had more prolonged survival and slower tumor growth rate, confirming the role of locally synthesized C1q could promote tumor growth (56).

Our results revealed that the expression of ZSWIM9, FYB1, SERPINF1, C1orf68, MASP2, and IGHV3-72 was higher in patients with lung adenocarcinoma compared

to patients with lung squamous cell carcinoma. SWIM is a novel zinc finger-like domain with a Zn-chelated CxCxCxH motif. At present, studies on ZSWIM and lung cancer have mainly focused on ZSWIM5. No relationship between ZSWIM9 and lung cancer has been reported. FYN binding protein 1 (FYB1), named adhesion and degranulation-promoting adapter protein (ADAP), is required for the activation of T cells (57). A recent study demonstrated that ADAP played a vital role in the activation of T cells and adhesion of β 2 integrin in cells induced by infection or chemokines (58). In addition, ADAP can be expressed on primary natural killer cells and lymphocyte-activated killer cells stimulated by interleukin-2, leading to increased antitumor responses (59). ADAP may contribute to improve the prognosis of patients with NSCLC (60). SERPINF1 is expressed in quiescent cells and contains various frontal functions including anti-tumor, anti-angiogenesis, and neurotrophic characteristics (61). The migration and apoptotic resistance of endothelial cells are inhibited by SERPINF via the FAS/FASL or the p38 MAPK pathway. We previously demonstrated that SERPINF1 is differentially expressed in lung cancer cell lines and has predictive significance (62). In this study, we showed that SERPINF1 expression was higher in lung adenocarcinoma compared to lung squamous cell carcinoma, which confirmed the above results. The C1orf68 gene encodes the skin specific protein 32 (63) and current literature on C1orf68 are mainly focused on skin diseases (63). This study is the first to confirm the differential expression of C1orf68 in lung cancer. MASP2 is the central protease molecule of the complement system, and its expression is closely related to the occurrence and development of various tumors. MASP2 can be used as a biomarker for colorectal cancer, HCC, esophageal squamous cell carcinoma, lymphatic carcinoma, and other malignancies, and has great value in predicting the progression and prognosis of these diseases (64). Indeed, a study has shown that high serum MASP2 levels are associated with recurrent tumors and poor survival, demonstrating the independent prognostic value of MASP2 (65). Through genome and transcriptome analyses, Zengin *et al.* found that MASP2 was associated with lung adenocarcinoma (66), which was consistent with our results. Ighv3-72 belongs to the subgroup of IGHV3. Currently, research on the role of IGHV3 in disease has focused on hematological tumors such as lymphoma and leukemia. The current study is the first to confirm the differential expression of the IGHV3 family in lung cancer, providing a possible approach for clinical treatment. In this

study, univariate and multivariate logistic regression was performed to identify the proteins that play an important role in the different types of NSCLC, different genders, and patients with genetic mutations. We found that MFGE8 and S100A8 were downregulated in adenocarcinomas. MFGE8 was originally identified as a breast cancer marker (67), but has since been associated with multiple tumors (68). MFGE8 plays major roles in tumor cell growth and proliferation (69) and is significantly upregulated in different types of squamous cell carcinomas, such as oral squamous cell carcinoma and esophageal squamous cell carcinoma (70). S100A8 is also a tumor suppressor in both squamous cell carcinoma and adenocarcinoma, however, until now there have been no reports related to the differential expression of MFGE8 and S100A8 in the plasma of squamous cell carcinoma and adenocarcinoma patients. The univariate logistic regression analyses revealed that TPI1, SDCBP, COL6A2, C1orf68, and ANXA2 were associated with the gender of the patients. However, to date, no other studies have shown a gender difference in the expression of these proteins in tumors. The L858R mutation and the exon 19 deletion are common EGFR mutations. It has been reported that the L858R mutation can promote cancer development through the CXCL12-CXCR4 pathway (71), but the molecular mechanisms in plasma exosomes remain unclear. Univariate analysis revealed that ITIH4, IGHM, and HPR expression were significantly different in patients with and without L858R mutation, however, no significant difference was detected in the multivariate analyses. To date, no studies have examined the effects of ITIH4, IGHM, and HPR expression on the L858R mutation in the NSCLC and future studies are warranted to verify these results.

The expression of MFGE8 has been associated with the prognosis of the patients with lung squamous cell carcinoma (70) and this is consistent with our results. However, the role of MFGE8 in lung squamous cell carcinoma remains to be elucidated.

This study demonstrated that the levels of CORO1A, IGHV5-51, IGHV3-23, COL4A2, and SH3BGRL3 were differentially expressed in patients with TNM stage I, II, and III cancer. CORO1A, which encodes TRP-ASP (WD) repeat proteins and is involved in a variety of cellular processes (72), has been shown to display predictive value for malignant outcomes (73). CORO1A deficiency can impair the homeostasis of peripheral cell (74). IGHV, also known as the unique type (idiotype), is a tumor specific antigen. Idiotypic types are the first proven immunotherapeutic targets that can induce tumor-specific

immune responses, and their antitumor effects have been already demonstrated in animal and clinical trials. At present, studies on the IGHV family have mainly focused on blood system diseases, and a study has confirmed that IGHV 3 and IGHV4 can participate in the occurrence and development of blood diseases (75). In this study, multiple IGHV family proteins showed a different expression in lung cancer, suggesting that IGHV may play a certain role in the occurrence of lung cancer. Coagulation factor XI is also known as F11 and it can trigger the middle phase of the intrinsic pathway of blood coagulation by activating factor IX (76). Factor XI is initially synthesized in the liver, and form a complex with high molecular weight kininogen, then circulates as a disulfide bond-linked dimer in the plasma. It is converted into XIa on the platelet surface (77). XIa, which is converted by factor XI, subsequently activates factor X into Xa (78). Type 4- α -2 collagen (COL4A2) is essential for the function and stability of the vascular basement membrane (79). A report has confirmed that COL4A2 is involved in the development of tumors of the reproductive system, such as prostate cancer, epithelial ovarian cancer, uterine leiomyoma, and breast cancer (80). To date, there is a lack of literature supporting the role of COL4A2 in lung cancer. The human SH3 domain combined with glutamate-rich like 3 (SH3BGRL3) gene is highly conserved in phylogeny and extensively expressed in human tissues. However, its function remains largely unknown. This protein has reported to be overexpressed in some tumors and a recent study suggested that it may be involved with members of the EGFR family (81). There is a positive feedback pathway between SH3BGRL3 and STAT3, which promotes tumor formation (82).

There were the limitations to this study. First, the association between high expression of plasma exosome proteins and prognosis of adenocarcinoma and squamous cell carcinoma cannot be determined owing to the small sample size which does not allow us infer causation certainly. Therefore, the sample size should be increased and extensive studies involving multiple centers should be conducted. Second, there were no normal healthy subjects analyzed and future investigations should recruit a set of healthy individuals to assess the differential expression of proteins in lung cancer patients. Third, the follow-up and the chemotherapy status of the patients were not considered in this report. It would be interesting to examine whether chemotherapy affects the levels of the differentially expressed proteins identified in our experience.

Conclusions

This study detailed the exosomal protein profiles at different stages of NSCLC and identified possible biomarkers candidate for use in clinical diagnosis. The results showed that the expression of APOC3, MFGE8, EMILIN1, S100A8, and C1QA was higher in patients with squamous cell carcinoma compared to those with adenocarcinoma. Conversely, the expression of ZSWIM9, FYB1, SERPINF1, C1orf68, MASP2, and IGHV3-72 was higher in patients with adenocarcinoma compared to those with squamous cell carcinoma. There were 11 differential expressed proteins in plasma exosomes from male and female lung cancer patients and 12 from different pathological lung cancer stage. Furthermore, 8 differentially expressed proteins in plasma exosomes were noticed in bronchogenic carcinoma with or without the L858R mutation.

Future direction

Recently, exosomes have been identified as a extracellular cargo. The exosomes have played important role in the microenvironment of the tumor through transferring miRNAs, RNAs, proteins. The content of the exosomes are associated with the functions of the exosomes. It was suggested that the bioactive molecules within tumor derived exosomes might be closely related to the prognosis and the immune status of the patients. Therefore, the analysis of exosomal protein content and the identification of potential biomarkers are important for exploring the prognosis of tumors or identifying targets. In the future, we will link the clinical information of patients with the protein content of exosomes to better identify targeted biomarkers.

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Footnote

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Ethical Statement: The authors are accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved. The Ethics Committee of the Shanghai Pulmonary Hospital (No. K20-197Y) approved the study protocol, and all patients provided written informed consent. The institutional review boards at Shanghai Pulmonary Hospital reviewed all protocols. The study was conducted in accordance with the Declaration of Helsinki (as revised in 2013). All consent documentation adhered to the Clinical Proteomic Tumor Analysis Consortium (CPTAC) guidelines.

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